

Municipal Elections Act, 1996 (Section 78)

Instructions:

All candidates must complete Boxes A and B. Candidates who receive contributions or incur expenses beyond the nomination fee must complete Boxes C, D, Schedule 1 and Schedule 2 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor’s Report.

All surplus funds (after any refund to the candidate or his or her spouse) shall be paid immediately over to the clerk who is responsible for the conduct of the election.

For the campaign period from (day candidate filed nomination) 2014/06/26 to 2014/12/31

Check box marked: Primary filing reflecting finances to December 31 (or 45th day after voting day in a by-election)

Check box unmarked: Supplementary filing including finances after December 31 (or 45th day after voting day in a by-election)

Box A: Name of Candidate and Office

Candidate’s name as shown on the ballot

Last name: Cullen

Given Name(s): Alex

Name of office for which the candidate sought election: City Councillor

Ward name or number (if any): Bay Ward (Ward 7)

Name of Municipality: City of Ottawa

Spending limit issued by clerk: \$ 29,982.35

Check box unmarked: I did not accept any contributions or incur expenses other than the nomination fee (Complete Box A and B only)

Box B: Declaration

I, Alex Cullen, a candidate in the municipality of the City of Ottawa, hereby declare that to the best of my knowledge and belief that these financial statements and attached supporting schedules are true and correct.

Declared before Artan Kayd Hassan in the City of Ottawa on 2015/03/27 12:05pm

Signature of Clerk or Commissioner

Date Filed in the Clerk's Office (Year/Month/Day): 2015/03/27 12:05 pm

Signature of Candidate

Box C: Statement of Campaign Income and Expenses

Loan

Name of bank or recognized lending institution: CIBC Visa

Amount borrowed: \$ 15,764.09

Income

Total amount of all contributions (From line 1A in Schedule 1): \$ 11,178.10

Refund of nomination filing fee: \$ 100.00

Sign deposit refund: \$ 0.00

Revenue from fund-raising events not deemed a contribution (from Part 3 of Schedule 2): \$ 0.00

Interest earned by campaign bank account: \$ 0.00

Other (provide full details): No Other Income

Line C1: Total Campaign Income (Do not include loan): \$ 11,278.10

Expenses (Note: Include the value of contributions of goods and services)

Expenses subject to spending limit

Nomination filing fee: \$ 100.00

Inventory from previous campaign used in this campaign (list details in Table 5 of Schedule 1): \$ 1,400.00

Advertising: \$ 3,472.58

Brochures/flyers: \$ 4,103.40

Signs (including sign deposit): \$ 809.29

Meetings hosted: \$ 0.00

Office expenses incurred until voting day: \$ 32.67

Phone and or Internet expenses incurred until voting day: \$ 250.00

Salaries, benefits, honoraria, professional fees incurred until voting day: \$ 0.00

Bank charges incurred until voting day: \$ 102.30

Interest charged on loan until voting day: \$ 0.00

Other (provide full details):

1. Postage : \$ 4,717.53
2. Voter Outreach : \$ 2,037.06

Line C2: Total Expenses subject to spending limit: \$ 17,024.83

Expenses not subject to spending limit

Accounting and audit: \$ 3,390.00

Cost of fund-raising events or activities (list details in Part 4 of Schedule 2): \$ 1,895.660

Voting day party/appreciation notices: \$ 184.35

Office expenses incurred after voting day: \$ 0.00

Phone and or Internet expenses incurred after voting day: \$ 11.55

Salaries, benefits, honoraria, professional fees incurred after voting day: \$ 0.00

Bank charges incurred after voting day: \$ 8.00

Interest charged on loan after voting day: \$ 0.00

Expenses related to recount: \$ 0.00

Expenses related to controverted election: \$ 0.00

Expenses related to compliance audit: \$ 0.00

Expenses related to candidate's disability (provide full details): No expenses related to candidate's disability.

Other (provide full details): No other expenses not subject to spending limit.

Line C3: Total expenses not subject to spending limit: \$ 5,489.56

Line C4: Total Campaign Expenses (Line C2 + Line C3): \$ 22,514.39

Box D: Calculation of Surplus of Deficit

Line D1: Excess (deficiency) of income over expenses (Income – Total Expenses) (Line C1 – Line C4): \$ -11,236.29

Line D2: Eligible deficit carried forward by the candidate from the last election: \$ 7,887.72

Total (Line D1-Line D2): \$ -19,124.01

If there is a surplus, deduct any refund of candidate's or spouse's contributions to the campaign: \$ 0.00

Line D3: Surplus (or deficit) for the campaign: \$ -19,124.01

If line D3 shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who was responsible for the conduct of the election.

Amount of \$ 0.00 paid to municipal clerk in the municipality of the City of Ottawa.

Schedule 1 – Contributions

Part 1 – Summary of Contributions

Contribution from candidate (include the value of inventory listed in Table 5): \$ 2,135.10

Contribution from spouse: \$ 0.00

Total value of contributions not exceeding \$100 per contributor (Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor is \$100 or less (do not include contributions from candidate or spouse)): \$ 4,475.00

Total value of contributions exceeding \$100 per contributor (from line 1B; list details in Tables 1-4) (Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor exceeds \$100 (Do not include contributions from candidate or spouse)): \$ 4,568.00

Less: Contributions returned or payable to the contributor: \$ 0.00

Less: Contributions paid or payable to the clerk, including contributions from anonymous sources exceeding \$10: \$ 0.00

Line 1A: Total amount of contributions (Record in Box C): \$ 11,178.10

Part 2 – List of contributions from Each Single Contributor totalling more than \$100

Table 1: Monetary contributions from individuals other than candidate or spouse

Name	Full Address	Amount \$
Don Cullen	927 Connaught Ave., Ottawa ON K2B 5M7	\$ 200.00
Marilyn Davis	123 Four Seasons Dr., Nepean ON K2E 7R1	\$ 300.00
Mark Earle	21 Kempster Ave., Ottawa ON K2B 6L9	\$ 200.00
Ken Grimble	126 Grandview Rd., Nepean ON K2H 8B1	\$ 200.00
Matilde Hahn	63 Britannia Rd., Ottawa ON K2B 5W4	\$ 250.00
Trudy Hall	118 Grandview Rd., Nepean ON K2H 8B2	\$ 200.00
Hilmi Karademir	#2, 291 Lorne Greene Way, Ottawa ON K2B 6A8	\$ 250.00
Brewster Kneen	2746 Cassels St., Ottawa ON K2B 6N7	\$ 200.00
Elsa Lessard	#1905, 1171 Ambleside Dr., Ottawa ON K2B 8E1	\$ 200.00
Elizabeth McKenzie	221 Clarence ST., Ottawa ON K1N 5P9	\$ 150.00

Name	Full Address	Amount \$
Brenda Paine	1044 Grenon Ave., Ottawa ON K2B 8N1	\$ 200.00
Katrina Prystupa	9 Burnbrook Cres., Nepean ON K2H 5M1	\$ 200.00
Marlene Rivier	2687 Violet St., Ottawa ON K2B 6X1	\$ 150.00
Shelley Rivier	2696 Violet St., Ottawa ON K2B 6X2	\$ 250.00
Stanley Rosenbaum	254 Grandview Rd., Nepean ON K2H 8A9	\$ 200.00
Marc Souliere	1044 Grenon Ave., Ottawa ON K2B 8N1	\$ 200.00
Dr. Phillippe Starosta	53A Kempster Ave., Ottawa ON K2B 6M2	\$ 118.00
Tim Stutt	#410, 440 Wiggins Priv., Ottawa ON K1N 1A7	\$ 150.00
Mike Sullivan	72 Church St., Weston ON M9N 1N3	\$ 250.00
Eleanor Sutherland	732 Fraser Ave., Ottawa ON K2A 2R9	\$ 200.00
Suhong Yang	79 Silverlace Pvt., Ottawa ON K1T 3Z8	\$ 200.00
Archie Campbell	#6 Rochester St., Ottawa, ON K1R 7L3	\$ 300.00

Total amount of monetary contributions from individuals other than candidate or spouse: \$ 4,568.00

Table 2: Monetary contributions from corporations or unions

Name (legal and carrying on Business as)	Full Address	President or Business Manager	Authorized Representative	Amount \$
Not Provided	Not Provided	Not Provided	Not Provided	\$0.00

Total amount of monetary contributions from corporations or unions: \$ 0.00

Table 3: Contributions in goods or services from individuals other than candidate or spouse (Note: Must also be recorded as expenses in Box C)

Name	Full Address	Description of Goods or Services	Value \$
Not Provided	Not Provided	Not Provided	\$0.00

Total value of goods or services from individuals other than candidate or spouse: \$ 0.00

Table 4: Contributions in goods or services from corporations or unions (Note: must also be recorded as expenses in Box C)

Name of Corporation (Legal and Carrying on Business as)	Full Address	President or Business Manager	Authorized Representative	Description of Goods or Services	Value \$
Not Provided	Not Provided	Not Provided	Not Provided	Not Provided	\$0.00

Total value of goods or services from corporations or unions: \$ 0.00

Line 1B – Total Part 2 Contributions (Add totals from Tables 1-4) (Record in Part 1 – Summary): \$ 4,568.00

Part 3 – Inventory

Table 5: Inventory of Campaign Goods and Materials from Previous Campaign used in this Campaign (Note: value must be recorded as a contribution from the candidate as an expense)

Description	Date Acquired Year/Month/Day	Supplier	Current Market Value \$	Quantity	Total Value \$
Small lawn signs	2006/07/12	Alex Cullen	1.00	300	\$ 300.00
Large signs	2006/07/12	Alex Cullen	3	00	\$ 300.00
Small lawn signs	2010/09/28	Hawley Signs	4.48	50	\$ 224.00
Large signs	2010/09/28	Hawley Signs	11.52	50	\$ 576.00

Total value of Campaign Goods and Materials from Previous Campaign used in this Campaign: \$ 1,400.00

Schedule 2 – Fundraising Events and Activities

Fundraising Event or Activity

Complete a separate schedule for each event or activity held

Check box unmarked: Additional schedule(s) attached

Description of fundraising event/activity: Fundraising letter

Date of event/activity (Year/Month/Day): 2014/10/14

Part 1 – Ticket Revenue

Line 2A - Admission charge (per person) (if there are a range of ticket prices, attach complete breakdown of all ticket sales) \$ 0.00

Line 2B – Number of tickets sold: 0

Total Ticket Revenue (Line 2A x 2B) (Include in Schedule 1) \$ 0.00

Part 2 – Other revenue deemed a contribution

(Provide details (e.g. revenue from goods sold in excess of fair market value)) Not Provided

Total Part 2 Revenue (Include Schedule 1): \$ 0.00

Part 3 – Other revenue not deemed a contribution

(Provide details (e.g. contributions of \$10 or less; market value of goods or services sold) Not Provided

Total Part 3 Revenue (Include in Box C): \$ 0.00

Part 4 – Expenses related to fundraising event or activity (provide details).

1. Fundraising letter (letterhead) : \$ 562.74
2. Envelopes : \$ 95.16
3. Labels : \$ 37.13
4. Postage : \$1,200.63

Total Part 4 Expenses (Include in Box C): \$ 1,895.66

Auditor's Report

Municipal Elections Act, 1996 (section 78)

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report.

Professional Designation of Auditor: Chartered Professional Accountant

Municipality: Ottawa

Date (Year/Month/Day): Not Provided

Contact information

Last Name: Casey

First Name: Christa

Licence Number: 315225

Address - Suite or Unit Number. Street number and Street Name: 3-123 Slater Street

City or Town, Province and Postal Code: Ottawa, ON K2P 5H2

Telephone Number (Including area code): 613-236-9191 Ext. 176

Fax number: 613-236-8258

Email Address: ccasey@welchllp.com

The report must be done in accordance with generally accepted auditing standards and must set out the scope of the examination and provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement

Check box marked: Report is attached

Personal information, if any, collected on this form is obtained under the authority of sections 78 and 95 of the Municipal Elections Act, 1996. Under section 88 of the Municipal Elections Act, 1996 (and despite anything in the Municipal Freedom of Information and Protection of Privacy Act) documents and materials filed with or prepared by the clerk or any other election official under the Municipal Elections Act, 1996 are public records and until their destruction, may be inspected by any person at the clerk's office at a time when the office is open. Campaign financial statements shall also be made available by the clerk in an electronic format free of charge upon request.

Attachment – Auditor’s Report

Welch LLP – Chartered Professional Accountants
123 Slater Street, 3rd Floor, Ottawa, ON K1P 5H2
T: 613 236 9191 F: 613 236 8258 W: www.wlechllp.com
An Independent Member of BKR International

Independent Auditor’s Report

To: Alex Cullen, Candidate for office of Councillor

Report on the Form – 4 Financial Statement

We have audited the accompanying campaign Financial Statement (Form 4) of Alex Cullen, Candidate for Councillor, which comprise the Statement of Campaign Income and Expenses and the Calculation of Surplus or Deficit for the campaign period ended December 31, 2014. The financial statements have been prepared by the Candidate based on the financial reporting provisions outlined in the 2014 Candidates’ Guide for Ontario Municipal and School Board Elections provided by the Ministry of Municipal Affairs and Housing and the Municipal Elections Act, 1996.

Candidate’s Responsibility for the Financial Statements

The Candidate is responsible for the preparation and fair presentation of these financial statements in accordance with reporting standards as outlined in the 2014 Candidates’ Guide for Ontario Municipal and School Board Elections provided by the Ministry of Municipal Affairs and Housing and the Municipal Elections Act, 1996, and for such internal control as the Candidate determines is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor’s Responsibility

Our responsibility is to express an opinion on this financial statement based on our audit. We conducted our audit in accordance with Canadian generally accepted auditing standards. Those standards require that we comply with ethical requirements and plan and perform the audit to obtain reasonable assurance about whether the financial statement is free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosure in the financial statement. The procedures selected depend on the auditor’s judgment, including the assessment of the risks of material misstatement of the financial statement, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the Candidate’s preparation and fair presentation of the financial statement in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the appropriateness of the Candidate’s internal control. An audit also includes evaluating the appropriateness of accounting policies used and the

reasonableness of accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our qualified opinion.

Basis for Qualified Opinion

Candidates derive revenues from donations, including donations of goods and services, the completeness of which is not susceptible to satisfactory audit verification. Accordingly, in accordance with the guidance outlined in the 2014 Candidates' Guide for Ontario Municipal and School Board Elections provided by the Ministry of Municipal Affairs and Housing, our verification of these transactions was limited to ensuring that the financial statement reflected the amounts recorded in the accounting records of the Candidate in accordance with the accounting procedures established by the Municipal Elections Act, 1996 and we were not able to determine whether any adjustments might be necessary to income and expenses and surplus/deficit.

Qualified Opinion

In our opinion, except for the possible effects of the matter described in the Basis for Qualified Opinion paragraph, the financial statement presents fairly, in all material respects, the financial information reflected in the accounting records of Alex Cullen, candidate for Councillor, in accordance with the financial reporting provisions outlined in the 2014 Candidates' Guide for Ontario Municipal and School Board Elections provided by the Ministry of Municipal Affairs and Housing and the Municipal Elections Act, 1996.

Basis of Accounting

The financial statement is prepared to assist Alex Cullen, Candidate for Councillor, meet the financial reporting requirements outlined in the 2014 Candidates' Guide for Ontario Municipal and School Board Elections provided by the Ministry of Municipal Affairs and Housing and the Municipal Elections Act, 1996. As a result, the financial statement may not be suitable for another purpose.

Signature of Chartered Professional Accountants

Chartered Professional Accountants
Licensed Public Accountant

Ottawa, Canada
March 27, 2015.

Welch LLP
An independent Member of BKR International