

Municipal Elections Act, 1996 (Section 78)

Instructions:

All candidates must complete Boxes A and B. Candidates who receive contributions or incur expenses beyond the nomination fee must complete Boxes C, D, Schedule 1 and Schedule 2 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor’s Report.

All surplus funds (after any refund to the candidate or his or her spouse) shall be paid immediately over to the clerk who is responsible for the conduct of the election.

For the campaign period from (day candidate filed nomination) 2014/04/10 to 2014/12/31

Check box marked: Primary filing reflecting finances to December 31 (or 45th day after voting day in a by-election)

Check box unmarked: Supplementary filing including finances after December 31 (or 45th day after voting day in a by-election)

Box A: Name of Candidate and Office

Candidate’s name as shown on the ballot

Last name: Catherine

Given Name(s): McKenney

Name of office for which the candidate sought election: Municipal Councillor

Ward name or number (if any): Ward 14 - Somerset

Name of Municipality: Ottawa

Spending limit issued by clerk: \$ 26,670.75

Check box unmarked: I did not accept any contributions or incur expenses other than the nomination fee (Complete Box A and B only)

Box B: Declaration

I, Catherine McKenney, a candidate in the municipality of the City of Ottawa, hereby declare that to the best of my knowledge and belief that these financial statements and attached supporting schedules are true and correct.

Declared before Leslie Donnelly in the City of Ottawa on 2015/03/25

Signature of Clerk or Commissioner

Date Filed in the Clerk's Office (Year/Month/Day): 25 March 2015

Signature of Candidate

Box C: Statement of Campaign Income and Expenses

Loan

Name of bank or recognized lending institution: Alterna Savings

Amount borrowed: \$0.00

Income

Total amount of all contributions (From line 1A in Schedule 1): \$ 25,325.05

Refund of nomination filing fee: \$ 100.00

Sign deposit refund: \$ 0.00

Revenue from fund-raising events not deemed a contribution (from Part 3 of Schedule 2): \$ 0.00

Interest earned by campaign bank account: \$ 17.32

Other (provide full details): No Other Income

Line C1: Total Campaign Income (Do not include loan): \$ 25,442.37

Expenses (Note: Include the value of contributions of goods and services)

Expenses subject to spending limit

Nomination filing fee: \$ 100.00

Inventory from previous campaign used in this campaign (list details in Table 5 of Schedule 1): \$ 0.00

Advertising: \$ 1,048.64

Brochures/flyers: \$ 6,033.81

Signs (including sign deposit): \$ 5,106.79

Meetings hosted: \$ 272.48

Office expenses incurred until voting day: \$ 1,797.71

Phone and or Internet expenses incurred until voting day: \$ 2,240.57

Salaries, benefits, honoraria, professional fees incurred until voting day: \$ 0.00

Bank charges incurred until voting day: \$ 0.00

Interest charged on loan until voting day: \$ 0.00

Other (provide full details):

1. Paypal Fees : \$ 598.49
2. Rent for Office : \$ 2,706.06

Line C2: Total Expenses subject to spending limit: \$ 19,904.55

Expenses not subject to spending limit

Accounting and audit: \$ 1,137.50

Cost of fund-raising events or activities (list details in Part 4 of Schedule 2): \$ 0.00

Voting day party/appreciation notices: \$ 1,307.00

Office expenses incurred after voting day: \$ 0.00

Phone and or Internet expenses incurred after voting day: \$ 0.00

Salaries, benefits, honoraria, professional fees incurred after voting day: \$ 0.00

Bank charges incurred after voting day: \$ 7.50

Interest charged on loan after voting day: \$ 0.00

Expenses related to recount: \$ 0.00

Expenses related to controverted election: \$ 0.00

Expenses related to compliance audit: \$ 0.00

Expenses related to candidate's disability (provide full details): No expenses related to candidate's disability.

Other (provide full details): No other expenses not subject to spending limit.

Line C3: Total expenses not subject to spending limit: \$ 2,452.00

Line C4: Total Campaign Expenses (Line C2 + Line C3): \$ 22,356.55

Box D: Calculation of Surplus of Deficit

Line D1: Excess (deficiency) of income over expenses (Income – Total Expenses) (Line C1 – Line C4): \$ 3,085.82

Line D2: Eligible deficit carried forward by the candidate from the last election: \$ 0.00

Total (Line D1-Line D2): \$ 3,085.82

If there is a surplus, deduct any refund of candidate's or spouse's contributions to the campaign: \$ 0.00

Line D3: Surplus (or deficit) for the campaign: \$ 3,085.82

If line D3 shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who was responsible for the conduct of the election.

Amount of \$ 3,085.82 paid to municipal clerk in the municipality of the City of Ottawa.

Schedule 1 – Contributions

Part 1 – Summary of Contributions

Contribution from candidate (include the value of inventory listed in Table 5): \$ 0.00

Contribution from spouse: \$ 0.00

Total value of contributions not exceeding \$100 per contributor (Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor is \$100 or less (do not include contributions from candidate or spouse)): \$ 9,055.05

Total value of contributions exceeding \$100 per contributor (from line 1B; list details in Tables 1-4) (Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor exceeds \$100 (Do not include contributions from candidate or spouse)): \$ 16,270.00

Less: Contributions returned or payable to the contributor: \$ 0.00

Less: Contributions paid or payable to the clerk, including contributions from anonymous sources exceeding \$10: \$ 0.00

Line 1A: Total amount of contributions (Record in Box C): \$ 25,325.05

Part 2 – List of contributions from Each Single Contributor totalling more than \$100

Table 1: Monetary contributions from individuals other than candidate or spouse

Name	Full Address	Amount \$
Philip M E Brown	87 Ridgefield Cres, Nepean ON K2H 6T1	\$ 120.00
James Ashe	2807-545 St. Laurent Blvd, Ottawa ON K1K 4H9	\$ 150.00
Noreen Carter	1907-500 Laurier Ave W, Ottawa ON K1R 5E1	\$ 150.00
Lisa Hebert	28 Pansy Ave, Ottawa ON K1S 2W6	\$ 150.00
Ishbel Solvason-Wiebe	370 Amiens St, Orleans ON K1E 1P2	\$ 150.00
Rob Sutherland	15 Priam Way, Nepean ON K2H 8S7	\$ 150.00
Ian Thomson	57. hilson Ave, Ottawa ON K1Z 6E1	\$ 150.00
Caroline Andrew	213 Cathcart St, Ottawa ON K1N 6C1	\$ 200.00
Debbie Barton	143 Willow St, Ottawa ON K1R 6W2	\$ 200.00
Erica Braunovan	315-235 Kent St, Ottawa ON K2P 1Z9	\$ 200.00
Tannis Bujaczek	26 Oak ST, Ottawa ON K1R 6S9	\$ 200.00

Name	Full Address	Amount \$
Nancy J Campbell	152A Spruce St, Ottawa ON K1R 6P2	\$ 200.00
Pauline Daling	448 Edgeworth Ave, Ottawa ON K2B 5L1	\$ 200.00
Heather Fraser	398 Churchill Ave N, Ottawa ON K1Z5E8	\$ 200.00
Colleen Hendrick	522 hillcrest Ave, Ottawa ON K2A 2M9	\$ 200.00
Karin Jordan	133 Faraday St, Ottawa ON K1Y 3M3	\$ 200.00
Margarite Keeley	39 Fairmont Ave, Ottawa ON K1Y 1X4	\$ 200.00
Brad Lavigne	41 Fairmont Ave, Ottawa ON K1Y 1X4	\$ 200.00
Lori Mellor	86 Norman St, Ottawa ON K1S 3K6	\$ 200.00
Martha Musgrove	236 Booth St, Ottawa ON K1R 7J6	\$ 200.00
Kiavash Najafi	3-149 First Ave, Ottawa ON K1S 2G3	\$ 200.00
Timothy Powers	1408-470 Laurier Ave W, Ottawa ON K1R 7W9	\$ 200.00
Lammert Prinsen	108 Walnut Crt, Ottawa ON K1R 7W2	\$ 200.00
David Seabom	178 Cambridge St. N, Ottawa ON K1R 6R1	\$ 200.00
Ray Sullivan	39 Fifth Ave, Ottawa ON K1S 2M3	\$ 200.00
Barbara Wright	9 Gilmour St, Ottawa ON K2P 0N1	\$ 200.00
Xue (Grace) Xin-Stripinis	250 Pleasant Park Rd, Ottawa ON K1H 5M6	\$ 200.00
Brian Caines	108 Walnut Crt, Ottawa ON K1R 7W2	\$ 250.00
Ian Capstick	607-429 Somerset ST W, Ottawa ON K2P 2P5	\$ 250.00
Barry Deeprise	2005-470 Laurier Ave W, Ottawa ON K1R 7W9	\$ 250.00
Jane Fjeld	30 Inuvik Cres, Kanata ON K2L 1A2	\$ 250.00
Leanne Holt	20 Foster St, Ottawa ON K1Y 3J4	\$ 250.00
Michael Little	11-285 Nepean St, Ottawa ON K1R 5G2	\$ 250.00
Roy Maddocks	870 Hare Ave, Ottawa ON K2A 3J2	\$ 250.00
Michael McDonald	303-370 Metcalfe St, Ottawa ON K1P 1S9	\$ 250.00
Alex Munter	355 McLeod St, Ottawa ON K2P 1A2	\$ 250.00

Name	Full Address	Amount \$
Dennis Recto	355 McLeod St, Ottawa ON K2P 1A2	\$ 250.00
Sally Rutherford	19 Elm St, Ottawa ON K1R 6M9	\$ 250.00
Cynthia Shelswell	177 Breezehill Ave, Ottawa ON K1Y 2J1	\$ 250.00
Bruce Tate	167 Hickory St, Ottawa ON K1Y 3T7	\$ 250.00
Joan Weinman	PH1-160 Fallingbrook Rd, Toronto ON M1N 0A1	\$ 250.00
Ed Broadbent	2-96 Frank St, Ottawa ON K2P 0X2	\$ 300.00
Archie Campbell	6-33 Rochester St, Ottawa ON K1R 7L3	\$ 300.00
Catherine Henry	122 Irving Ave, Ottawa ON K1Y 1Z4	\$ 300.00
Atul Kapur	56 Robert St, Ottawa On K2P 1G4	\$ 300.00
Ellen Wood	2-96 Frank St, Ottawa ON K2P 0X2	\$ 300.00
Ida Henderson	100 Upper Lorne Pl, Ottawa ON K1R 7G9	\$ 400.00
Richard Stewart	448 Edgeworth Ave, Ottawa On K2B 5L1	\$ 400.00
Robin MacLachlan	77 Norman St, Ottawa ON K1S 3K3	\$ 450.00
Catherine Boucher	143 Willow St, Ottawa ON K1R 6W2	\$ 500.00
Paul Bouzanis	205-485 Bank St, Ottawa ON K2P 1Z2	\$ 500.00
Sue Garvey	1211-1100 Ambleside Dr, Ottawa ON K2B 8G6	\$ 500.00
Andrew McNeill	149 Rochester St, Ottawa ON K1R 7M2	\$ 500.00
Shawn Murphy	PO Box 70097 RPO Place Bell, Ottawa ON K2P 2M3	\$ 500.00
Leslie Robertson	61 Elm St, Ottawa On K1R 6N2	\$ 500.00
Julia Sneyd	9 Burnham Rd, Ottawa ON K1S 0J7	\$ 500.00
Paul Dewar	9 Burnham Rd, Ottawa ON K1S 0J7	\$ 750.00
Richard Taylor	46 Marshall Ave, Ottawa ON K1Z 7X2	\$ 750.00

Total amount of monetary contributions from individuals other than candidate or spouse:
\$ 16,270.00

Table 2: Monetary contributions from corporations or unions

Name (legal and carrying on Business as)	Full Address	President or Business Manager	Authorized Representative	Amount \$
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Name (legal and carrying on Business as)	Full Address	President or Business Manager	Authorized Representative	Amount \$
Not Provided	Not Provided	Not Provided	Not Provided	\$0.00

Total amount of monetary contributions from corporations or unions: \$ 0.00

Table 3: Contributions in goods or services from individuals other than candidate or spouse (Note: Must also be recorded as expenses in Box C)

Name	Full Address	Description of Goods or Services	Value \$
Not Provided	Not Provided	Not Provided	\$0.00

Total value of goods or services from individuals other than candidate or spouse: \$ 0.00

Table 4: Contributions in goods or services from corporations or unions (Note: must also be recorded as expenses in Box C)

Name of Corporation (Legal and Carrying on Business as)	Full Address	President or Business Manager	Authorized Representative	Description of Goods or Services	Value \$
Not Provided	Not Provided	Not Provided	Not Provided	Not Provided	\$0.00

Total value of goods or services from corporations or unions: \$ 0.00

Line 1B – Total Part 2 Contributions (Add totals from Tables 1-4) (Record in Part 1 – Summary): \$ 16,270.00

Part 3 – Inventory

Table 5: Inventory of Campaign Goods and Materials from Previous Campaign used in this Campaign (Note: value must be recorded as a contribution from the candidate as an expense)

Description	Date Acquired Year/Month/Day	Supplier	Current Market Value \$	Quantity	Total Value \$
Not Provided	Not Provided	Not Provided	Not Provided	Not Provided	\$0.00

Total value of Campaign Goods and Materials from Previous Campaign used in this Campaign: \$ 0.00

Schedule 2 – Fundraising Events and Activities

Fundraising Event or Activity

Complete a separate schedule for each event or activity held

Check box unmarked: Additional schedule(s) attached

Description of fundraising event/activity: Not Provided

Date of event/activity (Year/Month/Day): Not Provided

Part 1 – Ticket Revenue

Line 2A - Admission charge (per person) (if there are a range of ticket prices, attach complete breakdown of all ticket sales) \$ 0.00

Line 2B – Number of tickets sold: 0

Total Ticket Revenue (Line 2A x 2B) (Include in Schedule 1) \$ 0.00

Part 2 – Other revenue deemed a contribution

(Provide details (e.g. revenue from goods sold in excess of fair market value)) Not Provided

Total Part 2 Revenue (Include Schedule 1): \$ 0.00

Part 3 – Other revenue not deemed a contribution

(Provide details (e.g. contributions of \$10 or less; market value of goods or services sold) Not Provided

Total Part 3 Revenue (Include in Box C): \$ 0.00

Part 4 – Expenses related to fundraising event or activity (provide details).

Not Provided

Total Part 4 Expenses (Include in Box C): \$ 0.00

Auditor's Report

Municipal Elections Act, 1996 (section 78)

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report.

Professional Designation of Auditor: Chartered Professional Accountant

Municipality: Ottawa

Date (Year/Month/Day): 2015/03/13

Contact information

Last Name: Frouin

First Name: John

Licence Number: 057168

Address - Suite or Unit Number. Street number and Street Name: 101-2301 Carling Avenue

City or Town, Province and Postal Code: Ottawa, ON K2B 7G3

Telephone Number (Including area code): 613 230-1022

Fax number: 613 230-2954

Email Address: Jfrouin@frouin.com

The report must be done in accordance with generally accepted auditing standards and must set out the scope of the examination and provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement

Check box marked: Report is attached

Personal information, if any, collected on this form is obtained under the authority of sections 78 and 95 of the Municipal Elections Act, 1996. Under section 88 of the Municipal Elections Act, 1996 (and despite anything in the Municipal Freedom of Information and Protection of Privacy Act) documents and materials filed with or prepared by the clerk or any other election official under the Municipal Elections Act, 1996 are public records and until their destruction, may be inspected by any person at the clerk's office at a time when the office is open. Campaign financial statements shall also be made available by the clerk in an electronic format free of charge upon request.

Attachment – Auditor’s Report

Auditor’s Report – Financial Statement

To: City Clerk

We have audited the accompanying Form 4 Financial Statement of Catherine McKenney, Municipal Campaign, which comprise the Statement of Campaign Income and Expenses for the campaign period April 10, 2014 to December 31, 2014. The financial statements have been prepared by the Candidate based on the financial reporting provision outlined in the 2014 Municipal Election Guide provided by the Ministry of Municipal Affairs and Housing and the Municipal Elections Act, 1996.

Candidate’s Responsibility for the Financial Statement

The Candidate is responsible for the preparation and fair presentation of this financial statement in accordance with the financial reporting provisions outlined in the 2014 Municipal Elections Guide and the Municipal Elections Act, 1996, and for such internal control as the Candidate determines is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor’s Responsibility

Our responsibility is to express an opinion on this financial statement based on our audit. We conducted our audit in accordance with Canadian generally accepted auditing standards. Those standards require that we comply with ethical requirements and plan and perform the audit to obtain reasonable assurance about whether the financial statement is free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosure in the financial statement. The procedures selected depend on the auditor’s judgment, including the assessment of the risks of material misstatement of the financial statement, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the Candidate’s preparation and fair presentation of the financial statement in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity’s internal control. An audit also includes evaluating the appropriateness of accounting principles used and the reasonableness of accounting estimates made by the Candidate, as well as evaluating the overall presentation of the financial statement.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our qualified audit opinion.

Basis for Qualified Opinion

Candidates derive revenues from donations, including donations of goods and services, the completeness of which is not susceptible to satisfactory audit verification. Accordingly, in accordance with the guidance outlined in the 2014 Municipal Election Guide, our verification of these transactions was limited to ensuring that the financial statement reflected the amounts recorded in the accounting records of the Candidate in accordance with the accounting procedure established by the Municipal Elections Act,

1996 and we were not able to determine whether any adjustments might be necessary to income and expenses for the period April 10, 2014 to December 31, 2014.

Qualified Opinion

In our opinion, except for the possible effects of the matter described in the Basis for Qualified Opinion paragraph, this financial statement presents fairly, in all material respects, the financial position of Catherine McKenney, Municipal Campaign, as at December 31, 2014 and its income and expenses for the period then ended in accordance with the financial reporting provisions outlined in the 2014 Municipal Election Guide provided by the Ministry of Municipal Affairs and Housing and the Municipal Elections Act, 1996.

Basis of Accounting

The financial statement is prepared to assist Catherine McKenney, Municipal Campaign, meet the financial reporting requirements outlined in the 2014 Municipal Election Guide provided by the Ministry of Municipal Affairs and Housing and the Municipal Elections Act, 1996. As a result, the financial statement may not be suitable for another purpose.

Signature of Charter Professional Accountant

Licensed Public Accounts

Ottawa, Ontario

March 24, 2015

Frouin Group Professional Corporation

Chartered Professional Accountants

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