

Municipal Elections Act, 1996 (Section 78)

Instructions:

All candidates must complete Boxes A and B. Candidates who receive contributions or incur expenses beyond the nomination fee must complete Boxes C, D, Schedule 1 and Schedule 2 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor’s Report.

All surplus funds (after any refund to the candidate or his or her spouse) shall be paid immediately over to the clerk who is responsible for the conduct of the election.

For the campaign period from (day candidate filed nomination) 2014/05/02 to 2014/12/31

Check box marked: Primary filing reflecting finances to December 31 (or 45<sup>th</sup> day after voting day in a by-election)

Check box unmarked: Supplementary filing including finances after December 31 (or 45<sup>th</sup> day after voting day in a by-election)

**Box A: Name of Candidate and Office**

Candidate’s name as shown on the ballot

Last name: Sherwin

Given Name(s): Fred

Name of office for which the candidate sought election: Councilor

Ward name or number (if any): Innes, Ward 2

Name of Municipality: City of Ottawa

Spending limit issued by clerk: \$ 30,090.30

Check box unmarked: I did not accept any contributions or incur expenses other than the nomination fee (Complete Box A and B only)

**Box B: Declaration**

I, Fred Sherwin, a candidate in the municipality of the City of Ottawa, hereby declare that to the best of my knowledge and belief that these financial statements and attached supporting schedules are true and correct.

Declared before Catherine Grace Bergeron in the City of Ottawa on 2015/03/27

Signature of Clerk or Commissioner

Date Filed in the Clerk's Office (Year/Month/Day): 2015/03/27 1:29pm

Signature of Candidate

## **Box C: Statement of Campaign Income and Expenses**

### **Loan**

Name of bank or recognized lending institution: Not Provided

Amount borrowed: \$0.00

### **Income**

Total amount of all contributions (From line 1A in Schedule 1): \$ 21,302.59

Refund of nomination filing fee: \$ 100.00

Sign deposit refund: \$ 0.00

Revenue from fund-raising events not deemed a contribution (from Part 3 of Schedule 2): \$ 0.00

Interest earned by campaign bank account: \$ 0.00

Other (provide full details): No Other Income

Line C1: Total Campaign Income (Do not include loan): \$ 21,402.59

### **Expenses (Note: Include the value of contributions of goods and services)**

#### **Expenses subject to spending limit**

Nomination filing fee: \$ 100.00

Inventory from previous campaign used in this campaign (list details in Table 5 of Schedule 1): \$ 4,617.22

Advertising: \$ 400.00

Brochures/flyers: \$ 6,713.86

Signs (including sign deposit): \$ 4,785.10

Meetings hosted: \$ 0.00

Office expenses incurred until voting day: \$ 754.44

Phone and or Internet expenses incurred until voting day: \$ 0.00

Salaries, benefits, honoraria, professional fees incurred until voting day: \$ 0.00

Bank charges incurred until voting day: \$ 191.40

Interest charged on loan until voting day: \$ 0.00

Other (provide full details):

1. Various campaign expenses : \$ 942.19
2. Translation : \$ 953.53

3. Gas for putting out signs : \$ 614.08

Line C2: Total Expenses subject to spending limit: \$ 20,071.82

#### Campaign Expenses

May 29 Fivesense Website Inv. 2106 \$122.04  
June 6 Dollarama Kick-off \$22.60  
June 11 MIFO Rental Kick-off Inv. 0604 \$240.00  
June 18 Sobeys Kick-off \$85.63  
July 24 Domainsatcost Website \$14.63  
Sept. 4 City of Ottawa Voters List Inv. 718811 \$40.00  
Sept. 12 City of Ottawa Voters List Inv. 718839 \$35.00  
Sept. 15 Canadian Tire Umbrellas \$56.48  
Sept. 26 Home Depot 8"Ties \$33.52  
Oct. 6 Orleans Chamber Debate Inv. 1557 \$90.40  
Oct. 14 Home Depot Duct Tape \$12.41  
Oct. 16 City of Ottawa Voters List Inv. 718822 \$40.00  
Oct. 16 Canadian Tire Umbrellas \$56.48  
Oct. 20 Home Depot Duct Tape \$12.41  
Oct. 22 Home Depot Duct Tape \$31.02  
Oct. 23 Lowes Duct Tape \$24.77  
Oct. 24 Home Depot Duct Tape \$6.20  
Oct. 24 Home Depot Duct Tape \$6.20  
Oct. 24 Home Depot Duct Tape \$6.20  
Oct. 24 Home Depot Duct Tape \$6.20

Total \$942.19

#### **Expenses not subject to spending limit**

Accounting and audit: \$ 2,825.00

Cost of fund-raising events or activities (list details in Part 4 of Schedule 2): \$ 2,142.73

Voting day party/appreciation notices: \$ 0.00

Office expenses incurred after voting day: \$ 6.20

Phone and or Internet expenses incurred after voting day: \$ 0.00

Salaries, benefits, honoraria, professional fees incurred after voting day: \$ 0.00

Bank charges incurred after voting day: \$ 47.30

Interest charged on loan after voting day: \$ 0.00

Expenses related to recount: \$ 0.00

Expenses related to controverted election: \$ 0.00

Expenses related to compliance audit: \$ 0.00

Expenses related to candidate's disability (provide full details): No expenses related to candidate's disability.

Other (provide full details):

1. Gas to pick up signs : \$ 40.00

Line C3: Total expenses not subject to spending limit: \$ 5,061.23

Line C4: Total Campaign Expenses (Line C2 + Line C3): \$ 25,133.05

**Box D: Calculation of Surplus of Deficit**

Line D1: Excess (deficiency) of income over expenses (Income – Total Expenses) (Line C1 – Line C4): \$ (3,730.46)

Line D2: Eligible deficit carried forward by the candidate from the last election: \$ 0.00

Total (Line D1-Line D2): \$ (3,730.46)

If there is a surplus, deduct any refund of candidate's or spouse's contributions to the campaign: \$ 0.00

Line D3: Surplus (or deficit) for the campaign: \$ (3,730.46)

If line D3 shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who was responsible for the conduct of the election.

Amount of \$ 0.00 paid to municipal clerk in the municipality of the City of Ottawa.

## Schedule 1 – Contributions

### Part 1 – Summary of Contributions

Contribution from candidate (include the value of inventory listed in Table 5): \$ 4,852.59

Contribution from spouse: \$ 0.00

Total value of contributions not exceeding \$100 per contributor (Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor is \$100 or less (do not include contributions from candidate or spouse)): \$ 4,975.00

Total value of contributions exceeding \$100 per contributor (from line 1B; list details in Tables 1-4) (Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor exceeds \$100 (Do not include contributions from candidate or spouse)): \$ 11,475.00

Less: Contributions returned or payable to the contributor: \$ 0.00

Less: Contributions paid or payable to the clerk, including contributions from anonymous sources exceeding \$10: \$ 0.00

Line 1A: Total amount of contributions (Record in Box C): \$ 21,302.59

### Part 2 – List of contributions from Each Single Contributor totalling more than \$100

**Table 1: Monetary contributions from individuals other than candidate or spouse**

Name	Full Address	Amount \$
Allan Pfahl	2209 des Grands Champs Orleans ON K1W 1K2	\$ 150.00
Bob Begin	2125 Rexton St. Orleans ON K4A 4K7	\$ 175.00
Carol-Anne Tippins	668 Everlasing Cres Orleans ON K4A 0K3	\$ 250.00
Chris Taggart	3187 Albion Rd. South Ottawa ON K1V 8Y3	\$ 750.00
Dr. Harpal S. Buttar	6715 Barsona Place Orleans ON K1C 1J2	\$ 150.00
Eric Smith	1300 Henn Dr. Navan ON K4B 1P2	\$ 250.00
Fredrick H. Sherwin Sr.	200 Lafontaine Ave Apt.1701 Vanier ON K1L 8K8	\$ 300.00
Gabriel Didomenicantonio	1968 Jardins des Sapins Orleans ON K1C 8E3	\$ 300.00
Garnett Gow	2215 Mer Bleue Rd. Orleans ON K4A 3T9	\$ 200.00
Gary Schreider	1697 Place des Ravins Orleans ON K1C 6H6	\$ 200.00
Gerald Poulin	1662 Westport Cres Orleans ON	\$ 225.00

Name	Full Address	Amount \$
	K1C 6C3	
Gib Patterson	8481 Parkway Rd. Metcalfe ON K0A 2P0	\$ 200.00
Howard Williamson	919 Beauclaire Dr. Orleans ON K1C 2J5	\$ 200.00
Ingrid DeHoog	6113 Oak Meadows Dr. Orleans ON K1C 7G9	\$ 150.00
James MacArthur	821 Ambleside Rd. Apt 1071 Ottawa ON K2G 6V4	\$ 200.00
Jean Laporte	700 Pensacola Court Orleans ON K4A 0L6	\$ 200.00
Jim Dark	1272 Villeroy Cres Navan ON K4B 1K7	\$ 250.00
Laura Williams	6425 Viseneau Dr. Orleans ON K1C 5H8	\$ 175.00
Leslie Larkin	1529 Rumford Dr. Orleans ON K4A 4B8	\$ 200.00
Pat Flosse	1790 Dunning Rd. Cumberland ON K4C 1L9	\$ 200.00
Peter McNeely	226 Hoylake Cres. Orleans ON K1E 2M8	\$ 500.00
Phil McNeely	24 Aria Court Orleans ON K4A 2P2	\$ 200.00
Sharen Armstrong	1965 Horizon Dr. Vars ON K0A 3H0	\$ 200.00
Shawn Larkin	1529 Rumford Cres. Orleans ON K4A 4B8	\$ 200.00
Syd Davie	814-100 Island Lodge Rd. Ottawa ON K1N 0A2	\$ 400.00
Tom Neilson	668 Everlasting Cres Orleans ON K4A 0K3	\$ 750.00
William Tropper	2006 rolling Brook Dr. Gloucester ON K1W 1C7	\$ 200.00
Zachary Neilson	421 Dusk Private Orleans On K4A 0V2	\$ 250.00

Total amount of monetary contributions from individuals other than candidate or spouse:  
\$ 7,425.00

**Table 2: Monetary contributions from corporations or unions**

Name (legal and carrying on Business as)	Full Address	President or Business Manager	Authorized Representative	Amount \$
1-800 GOT JUNK	2473 Sheffield Rd. Ottawa ON K1B 3V6	Luc Raymond	Luc Raymond	\$ 200.00
519719 Ontario Ltd.	1801 Woodward Dr. Ottawa ON	Gillie Vered	Gillie Vered	\$ 300.00

Name (legal and carrying on Business as)	Full Address	President or Business Manager	Authorized Representative	Amount \$
	K2C 0R3			
A-Metro Taxi Ltd.	269 Hannah St. Vanier ON K1L, 7N1	Hanif Patni	Hanif Patni	\$ 750.00
Andrew Leslie	140 Blenheim Dr. Ottawa ON K1L 5B5	Andrew Leslie	Andrew Leslie	\$ 150.00
Domaine Perrault Inc.	1000 ch Perrault, Navan ON K4B 1H8	Denis Perrault	Denis Perrault	\$ 200.00
Locke Real Estate Inc.	104-1803 St- Joseph Orleans ON K1C 6E7	James Locke	James Locke	\$ 300.00
Meatings Catering	1790 Dunning Rd. Cumberland ON K4C 1L9	Matt Flosse	Matt Flosse	\$ 250.00
Midori Market	3689 Navan Rd. Ottawa ON K1B 1H9	Edward Sawaya	Edward Sawaya	\$ 200.00
ML Bradley Ltd.	Box 70 Navan ON K4B 1J3	Kathleen Both	Kathleen Both	\$ 150.00
Pasquale Ricciuti Real Estate Broker	1420 Youville Dr. Orleans ON K1C 7B3	Pasquale Ricciuti	Pasquale Ricciuti	\$ 150.00
Projek Design	3403 St-Joseph Blvd. Orleans ON K1C 1T1	Robert Guibord	Robert Guibord	\$ 250.00
The Superdome Sports Centre	1927 Richardson Side Rd. P.O.Box 13182 Kanata ON K2K 1X4	Darin McCorristan	Darin McCorristan	\$ 750.00
Valecraft Homes Ltd.	1455 Youville Dr. Suite 210 Orleans ON K1C 6Z7	Jean-Guy Richard	Jean-Guy Richard	\$ 200.00
Woodburn Farms	1873 Innes Rd Ottawa ON K1B 4C8	Doug Woodburn	Doug Woodburn	\$ 200.00

Total amount of monetary contributions from corporations or unions: \$ 4.050.00



**Table 3: Contributions in goods or services from individuals other than candidate or spouse (Note: Must also be recorded as expenses in Box C)**

Name	Full Address	Description of Goods or Services	Value \$
Not Provided	Not Provided	Not Provided	\$0.00

Total value of goods or services from individuals other than candidate or spouse: \$ 0.00

**Table 4: Contributions in goods or services from corporations or unions (Note: must also be recorded as expenses in Box C)**

Name of Corporation (Legal and Carrying on Business as)	Full Address	President or Business Manager	Authorized Representative	Description of Goods or Services	Value \$
Not Provided	Not Provided	Not Provided	Not Provided	Not Provided	\$0.00

Total value of goods or services from corporations or unions: \$ 0.00

Line 1B – Total Part 2 Contributions (Add totals from Tables 1-4) (Record in Part 1 – Summary): \$ 11,475.00

### Part 3 – Inventory

**Table 5: Inventory of Campaign Goods and Materials from Previous Campaign used in this Campaign (Note: value must be recorded as a contribution from the candidate as an expense)**

Description	Date Acquired Year/Month/Day	Supplier	Current Market Value \$	Quantity	Total Value \$
Signs 21.5" * 29"	2010/10/19	Orleans Signs	17.50	30	\$ 525.00
Signs 35" * 46"	2010/10/19	Orleans Signs	42.00	43	\$ 1,806.00
Signs 48" * 96"	2010/10/19	Orleans Signs	112.00	6	\$ 672.00
Sign Stakes	2010/10/19	Orleans Signs	35	11	\$ 385.00
Sign posts 7'	2010/10/15	BMR Express	8.79	34	\$ 298.86
Sign posts 6'	2010/10/15	BMR Express	7.59	4	\$ 30.36
Lawn signs	2010/09/13	Omni Screen	2.25	400	\$ 900.00

Total value of Campaign Goods and Materials from Previous Campaign used in this Campaign: \$ 4,617.22

## **Schedule 2 – Fundraising Events and Activities**

### **Fundraising Event or Activity**

Complete a separate schedule for each event or activity held

Check box marked: Additional schedule(s) attached

Description of fundraising event/activity: Spaghetti Dinner

Date of event/activity (Year/Month/Day): 2014/11/21

### **Part 1 – Ticket Revenue**

Line 2A - Admission charge (per person) (if there are a range of ticket prices, attach complete breakdown of all ticket sales) \$ 0.00

Line 2B – Number of tickets sold: 41

Total Ticket Revenue (Line 2A x 2B) (Include in Schedule 1) \$ 1,905.00

### **Part 2 – Other revenue deemed a contribution**

(Provide details (e.g. revenue from goods sold in excess of fair market value)) Not Provided

Total Part 2 Revenue (Include Schedule 1): \$ 0.00

### **Part 3 – Other revenue not deemed a contribution**

(Provide details (e.g. contributions of \$10 or less; market value of goods or services sold))

1. Contributions of \$100.00 or less : \$ 50.00

Total Part 3 Revenue (Include in Box C): \$ 50.00

### **Part 4 – Expenses related to fundraising event or activity (provide details).**

1. Venue rental (MIFO) : \$ 200.00
2. Dishes and cutlery rental (Chez Lili) : \$ 216.04
3. Food (Costco \$114.34; Metro \$32.25; LCBO \$29.70) : \$ 176.29
4. Food (Tim Hortons (\$33.20) : \$ 33.20
5. Miscellaneous (Staples \$8.44; Home Depot \$9.54) : \$ 17.96

Total Part 4 Expenses (Include in Box C): \$ 643.51

### **Spaghetti Dinner Ticket Sales**

Kim Kimbel 1 \$50  
Diane Vroom 1 \$50  
Louis Patry 1 \$50  
Gerry Poulin 2 \$75  
Suzanne Wert 1 \$50  
Maria Gerdes 1 \$50  
Tina Chan 1 \$50

Andre Brisebois 2 \$95  
Ingrid DeHoog 3 \$150  
Laura Williams 2 \$75  
Bertillia Christian 1 \$50  
John Cook 1 \$60  
Pierre Page 1 \$50  
Shawn Hooper 1 \$50  
Robert Monette 1 \$40  
Huguette Jean-Francois 2 \$75  
John Klenavic 2 \$80  
Lois Kemp 2 \$80  
Allan Pfahl 1 \$50  
Yung Jin Hou 1 \$50  
Lynn Ledouc 2 \$75  
Garnett Gow 2 \$100  
Rachelle Campbell 1 \$50  
Sheryne Morcos 2 \$100  
Bob Begin 2 \$75  
Mike Lanos 1 \$50  
James McArthur 1 \$50  
Marie-France Lavigne 2 \$75  
Susan Flemming 1 \$50

Total \$1905

## **Schedule 2 – Fundraising Events and Activities**

### **Fundraising Event or Activity**

Complete a separate schedule for each event or activity held

Check box marked: Additional schedule(s) attached

Description of fundraising event/activity: Fundraising Dinner

Date of event/activity (Year/Month/Day): 2014/09/13

### **Part 1 – Ticket Revenue**

Line 2A - Admission charge (per person) (if there are a range of ticket prices, attach complete breakdown of all ticket sales) \$ 100.00

Line 2B – Number of tickets sold: 41

Total Ticket Revenue (Line 2A x 2B) (Include in Schedule 1) \$ 4,100.00

### **Part 2 – Other revenue deemed a contribution**

(Provide details (e.g. revenue from goods sold in excess of fair market value)) Not Provided

Total Part 2 Revenue (Include Schedule 1): \$ 0.00

**Part 3 – Other revenue not deemed a contribution**

(Provide details (e.g. contributions of \$10 or less; market value of goods or services sold)

Total Part 3 Revenue (Include in Box C): \$ 0.00

**Part 4 – Expenses related to fundraising event or activity (provide details).**

1. Venue rental (RAFO) : \$ 372.90
2. Dishes and cutlery rental (Chez Lili) : \$ 341.77
3. Food (Costco \$353.03; YIG \$29.99; Tim Hortons \$132.80) : \$ 515.82
4. Food (Sobeys \$48.38; New Mukut \$33.90) : \$ 82.28
5. Miscellaneous (Dollarama) : \$ 10.17
6. BBQ Rental (Robertson Rent-all) : \$ 176.28

Total Part 4 Expenses (Include in Box C): \$ 1,499.22

**Auditor's Report**

Municipal Elections Act, 1996 (section 78)

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report.

Professional Designation of Auditor: Chartered Professional Accountant

Municipality: City of Ottawa

Date (Year/Month/Day): 2015/03/27

Contact information

Last Name: Vroom

First Name: Kevin

Licence Number: 1-21207

Address - Suite or Unit Number. Street number and Street Name: 100-1730 St.Laurent BLVD

City or Town, Province and Postal Code: Ottawa, ON K1G 5L1

Telephone Number (Including area code): 613-739-8221 Ext. 4630

Fax number: 613-739-1517

Email Address: kvroom@bdo.ca

The report must be done in accordance with generally accepted auditing standards and must set out the scope of the examination and provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement

Check box marked: Report is attached

Personal information, if any, collected on this form is obtained under the authority of sections 78 and 95 of the Municipal Elections Act, 1996. Under section 88 of the Municipal Elections Act, 1996 (and despite anything in the Municipal Freedom of Information and Protection of Privacy Act) documents and materials filed with or prepared by the clerk or any other election official under the Municipal Elections Act, 1996 are public records and until their destruction, may be inspected by any person at the clerk's office at a time when the office is open. Campaign financial statements shall also be made available by the clerk in an electronic format free of charge upon request.

## **Attachment – Auditor’s Report**

BDO Canada s.r.l./LLP  
1730 St-Laurent Boulevard  
Suite100  
Ottawa ON K1G 5L1 Canada  
Tél./Tel: 613 739 8221  
Télec./Fax : 613 739 1517  
www.bdo.ca

### Independent Auditor’s Report

To Mr. Rick O’Connor, City Clerk and Solicitor, Ottawa

We have audited the accompanying Financial Statement – Auditor’s Report Form 4 (“Financial Statement”) of Fred Sherwin (“candidate”), which includes the Statement of Campaign Income and Expenses and Calculations of Surplus or Deficit for the period from May 2, 2014 to December 31, 2014 (relating to the election held on October 27, 2014), and other explanatory information. The Financial Statement has been prepared by the candidate based on Section 78 of the Municipal Elections Act, 1996 and Paragraph 9 of City of Ottawa By-Law No. 2005-505.

### Candidate’s Responsibility for the Financial Statements

The candidate is responsible for the preparation of the Financial Statement in accordance with Section 78 of the Municipal Elections Act, 1996 and Paragraph 9 of City of Ottawa By-Law No. 2005-505, and for such internal control as the candidate determines is necessary to enable the preparation of the Financial Statement that is free from material misstatement, whether due to fraud or error.

### Auditor’s Responsibility

Our responsibility is to express and opinion on the Financial Statement based on our audit. We conducted our audit in accordance with Canadian generally accepted auditing standards. Those standards require that we comply with ethical requirements and plan and perform the audit to obtain reasonable assurance about whether the Financial Statement is free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the Financial Statement. The procedures selected depend on the auditors’ judgment, including the assessment of the risks of material misstatement of the Financial Statement, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the candidate’s preparation of the Financial Statement in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the candidate’s internal control. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of accounting

estimates made by the candidate, if any, as well as evaluating the overall presentation of the Financial Statement.

We believe that the audit evidence we have obtained, including verifying campaign contributions to the campaign bank account, is sufficient and appropriate to provide a basis for our qualified audit opinion.

#### Basis for Qualified Opinion

Due to the nature of the types of transactions inherent in an election campaign, the completeness of donations of goods and services and receipts and disbursements is not susceptible to satisfactory audit verification. Accordingly, our verification of these transactions was limited to the amounts recorded in the accounting records of the candidate, and we were not able to determine whether any adjustments might be necessary to income and expenses, and surplus or deficit.

#### Qualified Opinion

In our opinion, except for the possible effects of the matter described in the Basis for Qualified Opinion paragraph, the Financial Statement of the candidate for the period May 2, 2014 to December 31, 2014 is prepared, in all material respects, in accordance with Section 78 of the Municipal Elections Act, 1996 and Paragraph 9 of City of Ottawa By-Law No. 2005-505.

#### Basis of Accounting and Restriction on Distribution and Use

The Financial Statement is prepared to assist the candidate to meet the requirements of Section 78 of the Municipal Elections Act, 1996 and Paragraph 9 of City of Ottawa By-Law No. 2005-505. As a result, the Financial Statement may not be suitable for another purpose. Our report is intended solely for the candidate and Mr. Rick O'Connor, City Clerk and Solicitor, Ottawa and should not be distributed to or used by parties other than the candidate and the Ministry of Municipal Affairs and Housing.

#### Signature of Chartered Professional Accountant

Chartered Professional Accountants, Licensed Public Accountants

Ottawa, Ontario

March 27, 2014