

Municipal Elections Act, 1996 (Section 78)

Instructions:

All candidates must complete Boxes A and B. Candidates who receive contributions or incur expenses beyond the nomination fee must complete Boxes C, D, Schedule 1 and Schedule 2 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor’s Report.

All surplus funds (after any refund to the candidate or his or her spouse) shall be paid immediately over to the clerk who is responsible for the conduct of the election.

For the campaign period from (day candidate filed nomination) 2014/01/07 to 2014/12/31

Check box marked: Primary filing reflecting finances to /December 31 (or 45<sup>th</sup> day after voting day in a by-election)

Check box unmarked: Supplementary filing including finances after December 31 (or 45<sup>th</sup> day after voting day in a by-election)

**Box A: Name of Candidate and Office**

Candidate’s name as shown on the ballot

Last name: Maguire

Given Name(s): Mike

Name of office for which the candidate sought election: Major

Ward name or number (if any): Not Provided

Name of Municipality: Ottawa

Spending limit issued by clerk: \$ 545,203.20

Check box unmarked: I did not accept any contributions or incur expenses other than the nomination fee (Complete Box A and B only)

**Box B: Declaration**

I, Mike Maguire, a candidate in the municipality of the City of Ottawa, hereby declare that to the best of my knowledge and belief that these financial statements and attached supporting schedules are true and correct.

Declared before Tyler Lee Cox in the City of Ottawa on 2015/03/27 10:59

Signature of Clerk or Commissioner

Date Filed in the Clerk's Office (Year/Month/Day): 2015/03/27 10:59

Signature of Candidate

## **Box C: Statement of Campaign Income and Expenses**

### **Loan**

Name of bank or recognized lending institution: Not Provided

Amount borrowed: \$0.00

### **Income**

Total amount of all contributions (From line 1A in Schedule 1): \$ 26,568.44

Refund of nomination filing fee: \$ 200.00

Sign deposit refund: \$ 0.00

Revenue from fund-raising events not deemed a contribution (from Part 3 of Schedule 2): \$ 0.00

Interest earned by campaign bank account: \$ 0.00

Other (provide full details): No Other Income

Line C1: Total Campaign Income (Do not include loan): \$ 26,768.44

### **Expenses (Note: Include the value of contributions of goods and services)**

#### **Expenses subject to spending limit**

Nomination filing fee: \$ 200.00

Inventory from previous campaign used in this campaign (list details in Table 5 of Schedule 1): \$ 0.00

Advertising: \$ 3,358.59

Brochures/flyers: \$ 3,243.78

Signs (including sign deposit): \$ 10,419.90

Meetings hosted: \$ 701.74

Office expenses incurred until voting day: \$ 2,596.24

Phone and or Internet expenses incurred until voting day: \$ 620.12

Salaries, benefits, honoraria, professional fees incurred until voting day: \$ 845.00

Bank charges incurred until voting day: \$ 244.47

Interest charged on loan until voting day: \$ 0.00

Other (provide full details):

1. Fuel : \$ 275.50

Line C2: Total Expenses subject to spending limit: \$ 22,505.34

**Expenses not subject to spending limit**

Accounting and audit: \$ 565.00

Cost of fund-raising events or activities (list details in Part 4 of Schedule 2): \$ 0.00

Voting day party/appreciation notices: \$ 0.00

Office expenses incurred after voting day: \$ 0.00

Phone and or Internet expenses incurred after voting day: \$ 360.81

Salaries, benefits, honoraria, professional fees incurred after voting day: \$ 500.00

Bank charges incurred after voting day: \$ 70.15

Interest charged on loan after voting day: \$ 0.00

Expenses related to recount: \$ 0.00

Expenses related to controverted election: \$ 0.00

Expenses related to compliance audit: \$ 0.00

Expenses related to candidate's disability (provide full details): No expenses related to candidate's disability.

Other (provide full details): No other expenses not subject to spending limit.

Line C3: Total expenses not subject to spending limit: \$ 1,495.96

Line C4: Total Campaign Expenses (Line C2 + Line C3): \$ 24,001.30

**Box D: Calculation of Surplus of Deficit**

Line D1: Excess (deficiency) of income over expenses (Income – Total Expenses) (Line C1 – Line C4): \$ 2,767.14

Line D2: Eligible deficit carried forward by the candidate from the last election: \$ 0.00

Total (Line D1-Line D2): \$ 2,767.14

If there is a surplus, deduct any refund of candidate's or spouse's contributions to the campaign: \$ 2,767.14

Line D3: Surplus (or deficit) for the campaign: \$ 0.00

If line D3 shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who was responsible for the conduct of the election.

Amount of \$ 0.00 paid to municipal clerk in the municipality of the City of Ottawa.

## Schedule 1 – Contributions

### Part 1 – Summary of Contributions

Contribution from candidate (include the value of inventory listed in Table 5): \$  
15,175.58

Contribution from spouse: \$ 0.00

Total value of contributions not exceeding \$100 per contributor (Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor is \$100 or less (do not include contributions from candidate or spouse)): \$  
2,842.86

Total value of contributions exceeding \$100 per contributor (from line 1B; list details in Tables 1-4) (Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor exceeds \$100 (Do not include contributions from candidate or spouse)): \$ 8,500.00

Less: Contributions returned or payable to the contributor: \$ 0.00

Less: Contributions paid or payable to the clerk, including contributions from anonymous sources exceeding \$10: \$ 0.00

Line 1A: Total amount of contributions (Record in Box C): \$ 26,568.44

### Part 2 – List of contributions from Each Single Contributor totalling more than \$100

**Table 1: Monetary contributions from individuals other than candidate or spouse**

Receipt Number	Name	Full Address	Date	Amount \$
MAGMIMA-000004	David Mackay	220 dovercourt ave, Ottawa, ON k1z7h2	9/22/2014	\$ 150.00
MAGMIMA-000010	Trevor Ward	Box 76, Kars, ON K0A 2E0	9/19/2014	\$ 150.00
MAGMIMA-000033	David Nicholson	6621 Marina Dr., Manotick ON K4M 1B3	8/20/2014	\$ 150.00
MAGMIMA-000039	Peter Clennett	8 Barrhaven Cres., Ottawa, ON K2J 1E8	10/21/2014	\$ 200.00
MAGMIMA-000052	Maynard Thompson	1240 Brookline Ave, Ottawa, ON K1V 6S7	9/24/2014	\$ 200.00
MAGMIMA-000014	Darryl Metzger	23 Bluemeadow Way, Ottawa, ON K2M1L7	9/19/2014	\$ 200.00
MAGMIMA-000017	Elizabeth Trudeau	1540 Ste Marie Road, Embrun, ON K0A1W0	8/28/2014	\$ 200.00
MAGMIMA-000026	Georganne Burke	151 Bay St., Ottawa, ON K1R 7T2	8/20/2014	\$ 200.00
MAGMIMA-000035	Nancy Nicholson	6621 Marina Dr., Manotick, ON K4M 1B3	8/20/2014	\$ 200.00

Receipt Number	Name	Full Address	Date	Amount \$
MAGMIMA-000036	Sundra Murthy	10 Shamrock Place, Ottawa, ON K2R 1A9	8/20/2014	\$ 200.00
MAGMIMA-000055	Minto Group	180 Kent St. Ottawa, ON K1P 0B6	8/20/2014	\$ 200.00
MAGMIMA-000062	Alex Proulx	5473 Old Mill Way, Manotick, ON K4M 1A3	8/20/2014	\$ 200.00
MAGMIMA-000061	Charlie Brophy	4700 Brophy Dr. RR#2, Richmond, ON K0A 2Z0	8/20/2014	\$ 200.00
MAGMIMA-000023	Brian Cummings	454 Brigatine, Stittsville, ON K2S 0P9	8/20/2014	\$ 250.00
MAGMIMA-000031	Alex Kowalenko	PO box 10243474 McBean St., Richmond, ON K0A 2Z0	08/20/2014	\$ 300.00
MAGMIMA-000024	Daniel Scharf	6556 Michelangelo Crt, North Gow, ON K0A 2T0	08/20/2014	\$ 400.00
MAGMIMA-000067	James Stewart	6522 Bunker Rd, Manotick, ON K4M1B3	8/20/2014	\$ 400.00
MAGMIMA-000034	Leach Bradbury Chartered Accountants	1145 Hunt C Suit 3, Ottawa, ON K1V 0Y3	9/19/2014	\$ 500.00
MAGMIMA-000058	Medipac Travel Insurance	180 Lesmill Rd. Toronto, ON M3B 2T5	8/20/2014	\$ 500.00
MAGMIMA-000018	David Howard	1582 Landel Drive, Ottawa, ON K2W1C6	8/28/2014	\$ 750.00
MAGMIMA-000037	Fred Bowie	940 Burwash Landing Bay, Kanata, ON K2W 0C8	8/20/2014	\$ 750.00
MAGMIMA-000063	Claude Lauzon	274 Dalhousie St., Ottawa, ON K1N 7E6	08/20/2014	\$ 750.00
MAGMIMA-000064	Lise Lauzon	274 Dalhousie St., Ottawa, ON K1N 7E6	08/20/2014	\$ 750.00
MAGMIMA-000065	Jeannine Lauzon	274 Dalhousie St., Ottawa, ON K1N 7E6	6522 Bunker	\$ 750.00

Total amount of monetary contributions from individuals other than candidate or spouse: \$ 8,550.00

**Table 2: Monetary contributions from corporations or unions**

Name (legal and carrying on Business as)	Full Address	President or Business Manager	Authorized Representative	Amount \$
Not Provided	Not Provided	Not Provided	Not Provided	\$0.00

Total amount of monetary contributions from corporations or unions: \$ 0.00

**Table 3: Contributions in goods or services from individuals other than candidate or spouse (Note: Must also be recorded as expenses in Box C)**

Name	Full Address	Description of Goods or Services	Value \$
Not Provided	Not Provided	Not Provided	\$0.00

Total value of goods or services from individuals other than candidate or spouse: \$ 0.00

**Table 4: Contributions in goods or services from corporations or unions (Note: must also be recorded as expenses in Box C)**

Name of Corporation (Legal and Carrying on Business as)	Full Address	President or Business Manager	Authorized Representative	Description of Goods or Services	Value \$
Not Provided	Not Provided	Not Provided	Not Provided	Not Provided	\$0.00

Total value of goods or services from corporations or unions: \$ 0.00

Line 1B – Total Part 2 Contributions (Add totals from Tables 1-4) (Record in Part 1 – Summary): \$ 8,500.00

**Part 3 – Inventory**

**Table 5: Inventory of Campaign Goods and Materials from Previous Campaign used in this Campaign (Note: value must be recorded as a contribution from the candidate as an expense)**

Description	Date Acquired Year/Month/Day	Supplier	Current Market Value \$	Quantity	Total Value \$
Not Provided	Not Provided	Not Provided	Not Provided	Not Provided	\$0.00

Total value of Campaign Goods and Materials from Previous Campaign used in this Campaign: \$ 0.00

**Schedule 2 – Fundraising Events and Activities**

**Fundraising Event or Activity**

Complete a separate schedule for each event or activity held

Check box unmarked: Additional schedule(s) attached

Description of fundraising event/activity: Not Provided

Date of event/activity (Year/Month/Day): Not Provided

**Part 1 – Ticket Revenue**

Line 2A - Admission charge (per person) (if there are a range of ticket prices, attach complete breakdown of all ticket sales) \$ 0.00

Line 2B – Number of tickets sold: 0

Total Ticket Revenue (Line 2A x 2B) (Include in Schedule 1) \$ 0.00

**Part 2 – Other revenue deemed a contribution**

(Provide details (e.g. revenue from goods sold in excess of fair market value)) Not Provided

Total Part 2 Revenue (Include Schedule 1): \$ 0.00

**Part 3 – Other revenue not deemed a contribution**

(Provide details (e.g. contributions of \$10 or less; market value of goods or services sold) Not Provided

Total Part 3 Revenue (Include in Box C): \$ 0.00

**Part 4 – Expenses related to fundraising event or activity (provide details).**

Not Provided

Total Part 4 Expenses (Include in Box C): \$ 0.00



**Auditor's Report**

Municipal Elections Act, 1996 (section 78)

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report.

Professional Designation of Auditor: Chartered Professional Accountant

Municipality: Stittsville

Date (Year/Month/Day): 2015/03/26

Contact information

Last Name: McNeely

First Name: Ben

Licence Number: 1-21354

Address - Suite or Unit Number. Street number and Street Name: 5992 Hazeldean Road

City or Town, Province and Postal Code: Stittsville, ON K2S 1B9

Telephone Number (Including area code): 613 963-1430 Ext. 222

Fax number: 613 686-3960

Email Address: bmcneely@khmpc.ca

The report must be done in accordance with generally accepted auditing standards and must set out the scope of the examination and provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement

Check box marked: Report is attached

Personal information, if any, collected on this form is obtained under the authority of sections 78 and 95 of the Municipal Elections Act, 1996. Under section 88 of the Municipal Elections Act, 1996 (and despite anything in the Municipal Freedom of Information and Protection of Privacy Act) documents and materials filed with or prepared by the clerk or any other election official under the Municipal Elections Act, 1996 are public records and until their destruction, may be inspected by any person at the clerk's office at a time when the office is open. Campaign financial statements shall also be made available by the clerk in an electronic format free of charge upon request.

## **Attachment – Auditor’s Report**

Kelly Huibers McNeely  
Professional Corporation  
Chartered Professional Accountants

Independent Auditor’s Report

To the Ministry of Municipal Affairs and Housing

We have audited the accompanying financial statement – Form 4 of Mike Maguire Election Campaign, which comprise the Statement of Campaign Income and Expenses for the campaign period January 7, 2014 to December 31, 2014.

The Candidate’s Responsibility for the Financial Statements

The candidate is responsible for the preparation and fair presentation of these financial statements in accordance with Section 78 of the Municipal Elections Act 1996 and for such internal control as the candidate determines is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor’s Responsibility

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with Canadian generally accepted auditing standards. Those standards require that we comply with ethical requirements and plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditors’ judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the candidate’s preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity’s internal control. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Basis for Qualified Opinion

Due to the nature of the types of transaction inherent in an election campaign, the completeness of donations of goods and services and receipts and disbursements is not susceptible to satisfactory audit verification. Accordingly, our verification of these transactions was limited to the amounts recorded in the records of the candidate. Therefore, we were not able to determine whether any adjustment might be necessary to campaign income and expenses.

#### Qualified Opinion

In our opinion, except for the possible effects of the matter described in the Basis for Qualified Opinion paragraph, the financial statement – Form 4 of the candidate present fairly, in all material respects in accordance with the reporting provisions of Section 78 of the Municipal Elections Act, 1996.

Signature of Chartered Professional Accountant

Authorized to practice public accounting by The Institute of Chartered Professional Accountants of Ontario

Stittsville, Ontario  
March 26, 2015