

Instructions

All candidates must complete Boxes A and B. Candidates who receive contributions or incur expenses must complete Boxes C, D, Schedule 1 and Schedule 2 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor's Report.

All surplus funds (after any refund to the candidate or their spouse) shall be immediately paid to the clerk who is responsible for the conduct of the election.

For the campaign period from (day clerk received nomination)

YYYY	MM	DD
2 0 2 2	0 5	1 9

 to

YYYY	MM	DD
2 0 2 2	1 2	3 1

- Initial filing reflecting finances from start of campaign to December 31 (or 45 days after voting day in a by-election)
- Supplementary filing reflecting finances from start of campaign to end of extended campaign period

Box A: Name of Candidate and Office

Candidate's name as shown on the ballot

Last Name or Single Name Brockington	Given Name(s) Riley
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Office for Which the Candidate Sought Election City Councillor	Ward Name or Number (if any) River Ward -16
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Municipality
Ottawa

Spending Limit General \$33,070.40	Parties and Other Expressions of Appreciation \$3,307.04	Contribution Limit Contributions from Candidate and Spouse \$11,604.80
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I did not accept any contributions or incur any expenses. (Complete Boxes A and B only)

Box B: Declaration

I, Riley Brockington, declare that to the best of my knowledge and belief that these financial statements and attached supporting schedules are true and correct.


Signature of Candidate

2023/03/31
Date (yyyy/mm/dd)

Date Filed (yyyy/mm/dd) 2023/03/31	Time Filed 10:12	Initial of Candidate or Agent (if filed in person) RSB	Signature of Clerk or Designate 
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Box C: Statement of Campaign Income and Expenses

LOAN

Name of bank or recognized lending institution

Amount borrowed
\$

INCOME

Total amount of all contributions (from line 1A in Schedule 1)	+ \$	27,076.85
Revenue from items \$25 or less	+ \$	
Sign deposit refund	+ \$	
Revenue from fundraising events not deemed a contribution (from Part III of Schedule 2)	+ \$	
Interest earned by campaign bank account	+ \$	
Other (provide full details)		
1.	+ \$	
2.	+ \$	
3.	+ \$	
4.	+ \$	
5.	+ \$	
6.	+ \$	

Total Campaign Income (Do not include loan)

= \$ 27,076.85 C1

EXPENSES (Note: Include the value of contributions of goods and services)

1. Expenses subject to general spending limit

Inventory from previous campaign used in this campaign (list details in Table 2 of Schedule 1)	+ \$	2,806.85
Advertising	+ \$	
Brochures/flyers	+ \$	6,793.85
Signs (including sign deposit)	+ \$	1,422.67
Meetings hosted	+ \$	
Office expenses incurred until voting day	+ \$	674.96
Phone and/or internet expenses incurred until voting day	+ \$	440.14
Salaries, benefits, honoraria, professional fees incurred until voting day	+ \$	1,277.43
Bank charges incurred until voting day	+ \$	15.04
Interest charged on loan until voting day	+ \$	
Other (provide full details)		
1. Canada Post Mail Service	+ \$	4,288.54
2. Gift cards/Uber for volunteers	+ \$	497.18
3. Sponsor community event	+ \$	610.00
4. Drinks	+ \$	7.45
5. Gas	+ \$	325.01
Total Expenses subject to general spending limit	= \$	19,159.12 C2

2. Expenses subject to spending limit for parties and other expressions of appreciation

1. Election Day Party	+ \$	1,175.64
2. Sweets/ chocolate for party	+ \$	67.77

3.	_____	+ \$	_____
4.	_____	+ \$	_____
5.	_____	+ \$	_____
Total Expenses subject to spending limit for parties and other expressions of appreciation		= \$	1,243.41 C3

3. Expenses not subject to spending limits

Accounting and audit		+ \$	4,027.32
Cost of fundraising events/activities (list details in Part IV of Schedule 2)		+ \$	_____
Office expenses incurred after voting day		+ \$	_____
Phone and/or internet expenses incurred after voting day		+ \$	_____
Salaries, benefits, honoraria, professional fees incurred after voting day		+ \$	_____
Bank charges incurred after voting day		+ \$	_____
Interest charged on loan after voting day		+ \$	_____
Expenses related to recount		+ \$	_____
Expenses related to controverted election		+ \$	_____
Expenses related to compliance audit		+ \$	_____
Expenses related to candidate's disability (provide full details)			
1.	_____	+ \$	_____
2.	_____	+ \$	_____
3.	_____	+ \$	_____
4.	_____	+ \$	_____
5.	_____	+ \$	_____
Other (provide full details)			
1.	_____	+ \$	_____
2.	_____	+ \$	_____
3.	_____	+ \$	_____
4.	_____	+ \$	_____
5.	_____	+ \$	_____
Total Expenses not subject to spending limits		= \$	4,027.32 C4

Total Campaign Expenses (C2 + C3 + C4) = \$ 24,429.85 C5

Box D: Calculation of Surplus or Deficit

Excess (deficiency) of income over expenses (Income minus Total Expenses) (C1 – C5)		+ \$	2,647.00 D1
If there is a surplus, deduct any refund of candidate's or spouse's contributions to the campaign		- \$	_____
Surplus (or deficit) for the campaign		= \$	2,647.00 D2

If line D2 shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who is responsible for the conduct of the election.

Schedule 1 – Contributions

Part I – Summary of Contributions

Contributions in money from candidate and spouse	+ \$	
Contributions in goods and services from candidate and spouse (include value listed in Table 1 and Table 2)	+ \$	<u>2,806.85</u>
Total value of contributions not exceeding \$100 per contributor • Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor is \$100 or less (do not include contributions from candidate or spouse).	+ \$	<u>6,416.00</u>
Total value of contributions exceeding \$100 per contributor (from line 1B; list details in Table 3 and Table 4) • Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor exceeds \$100 (do not include contributions from candidate or spouse).	+ \$	<u>18,254.00</u>
Less: Ineligible contributions paid or payable to the contributor	- \$	<u>400.00</u>
Contributions paid or payable to the clerk, including contributions from anonymous sources exceeding \$25	- \$	
Total Amount of Contributions (record under Income in Box C)	= \$	<u>27,076.85</u> 1A

Part II – Contributions from candidate or spouse

Table 1: Contributions in goods or services

Description of Goods or Services	Date Received (yyyy/mm/dd)	Value (\$)
Total		

Additional information is listed on separate supplementary attachment, if completed manually.

**Table 2: Inventory of campaign goods and materials from previous municipal campaign used in this campaign
(Note: Value must be recorded as a contribution from the candidate and as an expense.)**

Description	Date Acquired (yyyy/mm/dd)	Supplier	Quantity	Current Market Value (\$)
Small single sided lawn sign	2006/07/01	Unknown	30	152.55
Wooden stake	2006/07/01	Home Depot	30	67.20
Large road sign	2014/07/01	Hawley Signs/Home Depot	38	889.58
Wooden Stakes	2014/07/01	Home Depot	72	161.28
Small Double sided lawn signs (222) and metal stakes (222)	2014/07/01	Hawley signs	444	1,536.24
Total				2,806.85

Additional information is listed on separate supplementary attachment, if completed manually.

Part III – Contributions exceeding \$100 per contributor – individuals other than candidate or spouse

Table 3: Monetary contributions from individuals other than candidate or spouse

Name	Full Address	Date Received (yyyy/mm/dd)	Amount Received (\$)	Amount Returned to Contributor or Paid to Clerk (\$)
See attached list			17,780.00	
Total			17,780.00	

Additional information is listed on separate supplementary attachment, if completed manually.

Table 4: Contributions in goods or services from individuals other than candidate or spouse (Note: Must also be recorded as Expenses in Box C.)

Name	Full Address	Description of Goods or Services	Date Received (yyyy/mm/dd)	Value (\$)
Jason Dubue	218 Twyford st. Ottawa ON K1V 0V9	Webmaster/register website	2022/05/19	474.00
Total				474.00

Additional information is listed on separate supplementary attachment, if completed manually.

Total for Part III – Contributions exceeding \$100 per contributor (Add totals from Table 3 and Table 4 and record the total in Part 1 – Summary of Contributions) \$ 18,254.00 1B

Schedule 2 – Fundraising Events and Activities

Complete a separate schedule for each event or activity held.

Additional schedule(s) attached, if completed manually.

Fundraising Event/Activity 1

Description of fundraising event/activity _____

Date of event/activity (yyyy/mm/dd) _____

Part I – Ticket revenue

Admission charge (per person) \$ _____ **2A**

(If there are a range of ticket prices, attach complete breakdown of all ticket sales)

Number of tickets sold x _____ **2B**

Total Part I (2A X 2B) (include in Part I of Schedule 1) = \$ _____

Part II – Other revenue deemed a contribution

Provide details (e.g., revenue from goods sold in excess of fair market value)

1. _____ + \$ _____

Total Part II (include in Part I of Schedule 1) = \$ _____

Part III – Other revenue not deemed a contribution

Provide details (e.g., contribution of \$25 or less; goods or services sold for \$25 or less)

1. _____ + \$ _____

2. _____ + \$ _____

3. _____ + \$ _____

4. _____ + \$ _____

5. _____ + \$ _____

Total Part III (include under Income in Box C) = \$ _____

Part IV – Expenses related to fundraising event or activity

Provide details

1. _____ + \$ _____

Total Part IV Expenses (include under Expenses in Box C) = \$ _____

Auditor's Report – Municipal Elections Act, 1996 (Section 88.25)

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report.

Professional Designation of Auditor

CPA LPA

Municipality

Ottawa

Date (yyyy/mm/dd)

2023/03/28

Contact Information

Last Name or Single Name

Ethier-Boyer

Given Name(s)

Katerine

Licence Number

1-172-40/410078

Address

Suite/Unit Number

Street Number

1

Street Name

Rothwell drive

Municipality

Ottawa

Province

Ontario

Postal Code

K1J 7G3

Telephone Number

613-747-8494

Email Address

katerine@boyer-boyer.com

The report must be done in accordance with generally accepted auditing standards and must:

- set out the scope of the examination
- provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement

 Report is attached

Personal information, if any, collected on this form is obtained under the authority of sections 88.25 and 95 of the *Municipal Elections Act, 1996*. Under section 88 of the *Municipal Elections Act, 1996* (and despite anything in the *Municipal Freedom of Information and Protection of Privacy Act*) documents and materials filed with or prepared by the clerk or any other election official under the *Municipal Elections Act, 1996* are public records and, until their destruction, may be inspected by any person at the clerk's office at a time when the office is open. Campaign financial statements shall also be made available by the clerk in an electronic format free of charge upon request.

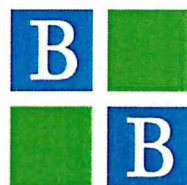
Riley Brockington 2022

Cash Contributions that Exceed \$100.00

Name/Donor	Amount of Contribution	Address	City/Province	Postal Code	Date Received
Aisling Boomgaardt	\$250	62 Crichton St	Ottawa, ON	K1M 1V7	15-Jun-22
Emilie Taman	\$200	69 Ossington Ave	Ottawa, ON	K1S 3B5	16-Jun-22
Bruce Brockington	\$250	5 Bayside Pvt	Ottawa, ON	K1V 9R3	19-Jun-22
Claudette Simoneau	\$400	1223 Brookline Ave	Ottawa, ON	K1V 6S6	22-Jun-22
John Kruithof	\$200	160 Kamloops Ave	Ottawa, ON	K1V 7C9	23-Jun-22
Jodn Reid	\$250	57 Vanhurst Place	Ottawa, ON	K1V 9Z7	24-Jun-22
Thomas Znotkins	\$300	3736 Revelstoke Dr	Ottawa, ON	K1V 7C4	29-Jun-22
Nelson Coyle	\$200	965 Admiral Ave	Ottawa, ON	K1Z 6L8	29-Jun-22
Richard A Lane	\$200	249 Anna Avenue	Ottawa, ON	K1Z 7V4	29-Jun-22
Frances Tanner	\$400	46 Marshall Avenue	Ottawa, ON	K1Z 7X2	29-Jun-22
Kamal R Nandram	\$1,200	203 Balmoral Place	Ottawa, ON	K1H 1B2	29-Jun-22
Abiodun Mosuro	\$200	82-3339 Paul Anka Dr	Ottawa, ON	K1V 0G1	29-Jun-22
Mark Hill	\$300	19 Ramsgate Pvt	Ottawa, ON	K1V 8M4	30-Jun-22
Doris M Fiszer	\$250	5 Bayside Pvt	Ottawa, ON	K1V 9R3	30-Jun-22
Deborah Kirwan	\$250	43 Pigeon Terrace	Ottawa, ON	K1V 9H6	30-Jun-22
Eleonore M Benesch	\$200	2580 Hobson Road	Ottawa, ON	K1V 8M7	1-Jul-22
Judith Dickey	\$250	224 Crerar Ave	Ottawa, ON	K1Z 7P3	1-Jul-22
Blessing Udechukwu	\$150	37-3205 Uplands Drive	Ottawa, ON	K1V 9T3	4-Jul-22
John Singlehurst	\$1,200	700-225 Metcalfe St	Ottawa, ON	K2P 1P9	4-Jul-22
Susan Baker	\$150	155 Wilshire Ave	Ottawa, ON	K2C 0E6	5-Jul-22
Mary Ann Turnbull	\$1,200	415 Wood Ave	Rockcliffe Park, ON	K1M 1J8	6-Jul-22
Peter Brimacombe	\$200	854 Plante Drive	Ottawa, ON	K1V 9E2	6-Jul-22
Peter Foulger	\$500	30 Hackett St	Ottawa, ON	K1V 0P7	7-Jul-22
Barbara Shea	\$180	110 Bartlett Pvt	Ottawa, ON	K1V 2A8	7-Jul-22
Lynn Graham	\$200	204-31 First Avenue	Ottawa, ON	K1S 2G1	7-Jul-22
L E Wessman	\$150	3108 Quesnel Dr	Ottawa, ON	K1V 7E6	8-Jul-22
Michael McDermott	\$150	902-330 Queen Elizabeth	Ottawa, ON	K1S 3M9	11-Jul-22
Norman M McLeod	\$200	10 Leyland Pvt	Ottawa, ON	K1V 0X8	11-Jul-22
Jeanne Burgess	\$200	22 Viscount Ave	Ottawa, ON	K1Z 7M7	18-Jul-22
Eliseo Temprano	\$1,200	1455 Rhea Place	Gloucester, ON	K1V 1H1	23-Jul-22
Frank Horger	\$1,000	23 Vanhurst Place	Ottawa, ON	K1V 9Z7	26-Jul-22
Gwen Bell	\$500	54 Hawk Cres	Ottawa, ON	K1V 9G7	28-Jul-22
Suzanne Saikaly	\$250	7009 Aldergrove Way	Greely, ON	K4P 1A4	29-Jul-22
Yvele Paquette	\$200	1098 Normandy Cres	Ottawa, ON	K2C 0L8	3-Aug-22
Karin Howard	\$250	3828 Revelstoke Dr	Ottawa, ON	K1V 7C4	15-Aug-22
Susan Pfister	\$200	257 Anna Ave	Ottawa, ON	K1Z 7V4	15-Aug-22
Bruce Howard	\$250	3828 Revelstoke Dr	Ottawa, ON	K1V 7C4	22-Aug-22
Christopher G Dye	\$200	805 Nickelson Ave	Ottawa, ON	K1V 6N4	28-Aug-22
Jesse Ajayi	\$200	1328 Thames Ave	Ottawa, ON	K1Z 7N4	29-Aug-22
Reynold Francis	\$200	690 Hartman Cres	Ottawa, ON	K1V 7E9	3-Sep-22
Alan Asselstine	\$250	5 Sparrow Way	Ottawa, ON	K1V 9H4	5-Sep-22
Marlene Davidson	\$250	1025 Cromwell Dr	Ottawa, ON	K1V 6K3	8-Sep-22
Vincenzo Valenti	\$500	1318 River Road	Manotick, ON	K4M 1B4	12-Sep-22
Elizabeth Costello	\$150	188 Wilshire Ave	Ottawa, ON	K2C 0E5	14-Sep-22
Peter Lamont	\$200	1324 Cornfield Cres	Greely, ON	K4P 1E6	16-Sep-22
Nicholas Wise	\$250	50 Marshall Ave	Ottawa, ON	K1Z 7X2	19-Sep-22
Zivana Pavic	\$300	25 Archer Square	Ottawa, ON	K1V 9Y8	20-Sep-22
Patrick J McGrath	\$250	10 Avocado St	Ottawa, ON	K1V 9C6	20-Sep-22
Jide Alfolabi	\$200	311 Lebreton St S	Ottawa, ON	K1S 4L4	3-Oct-22
Ralph Ramkerrysingh	\$300	54 Gillespie Cres	Ottawa, ON	K1V 0J4	6-Oct-22
Anand Aggarwal	\$500	189 Acacia Ave	Rockcliffe Park, ON	K1M 0L6	12-Oct-22
Francois Bouchard	\$200	19 Bennett St	Ottawa, ON	K1V 9L1	24-Oct-22
Tony Vanikiotis	\$250	204 McCurdy Dr	Kanata, ON	K2L 2L6	9-Nov-22

**Total Monetary
Contributions In
Excess of \$100**

\$17,830



Boyer & Boyer

Comptables professionnels agréés / Chartered Professional Accountants

INDEPENDENT AUDITOR'S REPORT

To the City Clerk and Solicitor of the City of Ottawa
Qualified Opinion

We have audited the financial statements of Riley Brockington Municipal Election Campaign (the Candidate), which comprise the Statements of Campaign Income and Expenses and the Calculation of Surplus or Deficit for the period from May 15, 2022 to December 31, 2022, and other explanatory information.

In our opinion, except for the possible effects of the matter described in the Basis for Qualified Opinion section of our report, the accompanying financial statements of the Candidate are prepared, in all material respects, in accordance with the financial reporting provisions of Section 78 of the Municipal Elections Act, 1996 and City of Ottawa By-Law No.2022-76.

Basis for Qualified Opinion

Due to the inherent nature of campaign transactions, the completeness of the various categories of income and expenses is not susceptible to satisfactory audit verification. Accordingly, our verification of income and expenses was limited to the amount recorded in the records of the Candidate and we were not able to determine whether any adjustments might be necessary to income, expenses, and period surplus for the period ended December 31, 2022.

We conducted our audit in accordance with Canadian generally accepted auditing standards. Our responsibilities under those standards are further described in the Auditor's Responsibilities for the Audit of the Financial Statements section of our report. We are independent of the Candidate in accordance with the ethical requirements that are relevant to our audit of the financial statements in Canada, and we have fulfilled our other ethical responsibilities in accordance with these requirements. We believe that the audit evidence we have obtained, including verifying campaign contributions to the campaign bank account statement, is sufficient and appropriate to provide a basis for our qualified audit opinion.

Emphasis of Matter – Basis of Accounting and Restriction on Use

The financial statements are prepared to assist the Candidate to comply with the financial reporting provisions of Section 78 of the Municipal Elections Act, 1996 and City of Ottawa By-Law No.2022-76. As a result, the financial statements may not be suitable for another purpose. Our report is intended solely for the City Clerk and Solicitor of the City of Ottawa and should not be used by parties other than the Candidate and Ontario Ministry of Municipal Affairs and Housing. Our opinion is not modified in respect of this matter.

Responsibilities of the Candidate for the Financial Statements

The Candidate is responsible for the preparation of the financial statements in accordance with the financial reporting provisions of Section 78 of the Municipal Elections Act, 1996 and City of Ottawa By-Law No.2022-76, and for such internal control as Candidate determines is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibilities for the Audit of the Financial Statements

Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance, but is not a guarantee that an audit conducted in accordance with Canadian generally accepted auditing standards will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in the aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of these financial statements.

A further description of our responsibilities for the audit of the financial statements is included in the appendix of this auditor's report. This description, which is located at page 3, forms part of our auditor's report.



Boyer & Boyer, CPA
Chartered Professional Accountants
Licensed Public Accountants

Ottawa
March 28, 2023

APPENDIX TO INDEPENDENT AUDITOR'S REPORT

Description of the Auditor's Responsibilities for the Audit of the Financial Statements

As part of an audit in accordance with Canadian generally accepted auditing standards, we exercise professional judgment and maintain professional skepticism throughout the audit. We also:

- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, design and perform audit procedures responsive to those risks, and obtain audit evidence that is sufficient and appropriate to provide a basis for our opinion. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Candidate's internal control.
- Evaluate the appropriateness of accounting policies used and the reasonableness of accounting estimates and related disclosures made by management.

We communicate with the Candidate regarding, among other matters, the planned scope and timing of the audit and significant audit findings, including any significant deficiencies in internal control that we identify during our audit.

Explanation

By-law No. 2022-76 authorizes the payment of rebates to individuals who make contributions to participating candidates for office on the municipal council who comply with the provisions of the by-law. Under Subsection 7(d) of the by-law, “a participating candidate shall submit an additional form, one established for that purpose by the City Clerk, upon which the auditor confirms that he or she has verified all contributions against a bank statement for the campaign account.”

Name of Auditor: Boyer : Boyer CPA / Katherine Ethier Boyer

Professional Designation of Auditor: CPA / LPA

Name of Candidate: Riley Brockington
(Please print candidate’s full name)

Nominated candidate for the office of:

- Mayor
- Councillor, Ward 16

I, the undersigned, confirm that I have verified all contributions against the bank statement for the campaign account.


Signature of Auditor

2023/03/28
Date (yyyy/mm/dd)