

**Instructions**

All candidates must complete Boxes A and B. Candidates who receive contributions or incur expenses must complete Boxes C, D, Schedule 1 and Schedule 2 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor's Report.

All surplus funds (after any refund to the candidate or their spouse) shall be immediately paid to the clerk who is responsible for the conduct of the election.

For the campaign period from (day clerk received nomination) 

YYYY	MM	DD
2 0 2 2	0 8	2 / 2

<sup>19</sup> to 

YYYY	MM	DD
2 0 2 3	0 1	0 3

- Initial filing reflecting finances from start of campaign to December 31 (or 45 days after voting day in a by-election)
- Supplementary filing reflecting finances from start of campaign to end of extended campaign period

**Box A: Name of Candidate and Office**

Candidate's name as shown on the ballot

Last Name or Single Name ROGERS	Given Name(s) DANIEL
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Office for Which the Candidate Sought Election COUNCILLOR	Ward Name or Number (if any) WARD 17 (CAPITAL)
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Municipality  
OTTAWA

Spending Limit General <del>\$24,314.55</del> 29,508.90	Parties and Other Expressions of Appreciation <del>\$2,431.46</del> 2,950.89	Contribution Limit Contributions from Candidate and Spouse <del>\$9,544.60</del> 10,766.80
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I did not accept any contributions or incur any expenses. (Complete Boxes A and B only)

**Box B: Declaration**

I, DANIEL ROGERS, declare that to the best of my knowledge and belief that these financial statements and attached supporting schedules are true and correct.

Signature of Candidate

2023/03/27  
Date (yyyy/mm/dd)

Date Filed (yyyy/mm/dd) 2023/03/28	Time Filed 12:10	Initial of Candidate or Agent (if filed in person) DMR	Signature of Clerk or Designate 
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## Box C: Statement of Campaign Income and Expenses

### LOAN

Name of bank or recognized lending institution	Amount borrowed
	\$

### INCOME

Total amount of all contributions (from line 1A in Schedule 1)	+ \$	6,435.45	
Revenue from items \$25 or less	+ \$		
Sign deposit refund	+ \$		
Revenue from fundraising events not deemed a contribution (from Part III of Schedule 2)	+ \$		
Interest earned by campaign bank account	+ \$		
Other (provide full details)			
1.	+ \$		
2.	+ \$		
3.	+ \$		
4.	+ \$		
5.	+ \$		
6.	+ \$		
<b>Total Campaign Income (Do not include loan)</b>	= \$	<b>6,435.45</b>	<b>C1</b>

### EXPENSES (Note: Include the value of contributions of goods and services)

#### 1. Expenses subject to general spending limit

Inventory from previous campaign used in this campaign (list details in Table 2 of Schedule 1)	+ \$		
Advertising	+ \$	551.26	
Brochures/flyers	+ \$		
Signs (including sign deposit)	+ \$	2,274.74	
Meetings hosted	+ \$	84.59	
Office expenses incurred until voting day	+ \$		
Phone and/or internet expenses incurred until voting day	+ \$	81.36	
Salaries, benefits, honoraria, professional fees incurred until voting day	+ \$		
Bank charges incurred until voting day	+ \$	240.00	
Interest charged on loan until voting day	+ \$		
Other (provide full details)			
1.	+ \$		
2.	+ \$		
3.	+ \$		
4.	+ \$		
5.	+ \$		
6.	+ \$		
<b>Total Expenses subject to general spending limit</b>	= \$	<b>3,231.95</b>	<b>C2</b>

#### 2. Expenses subject to spending limit for parties and other expressions of appreciation

1.	+ \$		
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2.		+ \$	
3.		+ \$	
4.		+ \$	
5.		+ \$	
<b>Total Expenses subject to spending limit for parties and other expressions of appreciation</b>		= \$	<b>C3</b>

**3. Expenses not subject to spending limits**

Accounting and audit	+ \$	452.00	
Cost of fundraising events/activities (list details in Part IV of Schedule 2)	+ \$	1,071.92	
Office expenses incurred after voting day	+ \$		
Phone and/or internet expenses incurred after voting day	+ \$	204.09	
Salaries, benefits, honoraria, professional fees incurred after voting day	+ \$		
Bank charges incurred after voting day	+ \$	480.00	
Interest charged on loan after voting day	+ \$		
Expenses related to recount	+ \$		
Expenses related to controverted election	+ \$		
Expenses related to compliance audit	+ \$		
Expenses related to candidate's disability (provide full details)			
1.	+ \$		
2.	+ \$		
3.	+ \$		
4.	+ \$		
5.	+ \$		
Other (provide full details)			
1.	+ \$		
2.	+ \$		
3.	+ \$		
4.	+ \$		
5.	+ \$		
<b>Total Expenses not subject to spending limits</b>	= \$	<b>2,208.01</b>	<b>C4</b>

**Total Campaign Expenses (C2 + C3 + C4)** = \$ **5,439.96** **C5**

**Box D: Calculation of Surplus or Deficit**

Excess (deficiency) of income over expenses (Income minus Total Expenses) (C1 – C5)	+ \$	995.49	<b>D1</b>
If there is a surplus, deduct any refund of candidate's or spouse's contributions to the campaign	- \$	995.49	
Surplus (or deficit) for the campaign	= \$		<b>D2</b>

If line D2 shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who is responsible for the conduct of the election.

## Schedule 1 – Contributions

### Part I – Summary of Contributions

Contributions in money from candidate and spouse	+ \$ 850.00
Contributions in goods and services from candidate and spouse (include value listed in Table 1 and Table 2)	+ \$ 285.45
Total value of contributions not exceeding \$100 per contributor • Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor is \$100 or less (do not include contributions from candidate or spouse).	+ \$ 350.00
Total value of contributions exceeding \$100 per contributor (from line 1B; list details in Table 3 and Table 4) • Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor exceeds \$100 (do not include contributions from candidate or spouse).	+ \$ 4,950.00
<b>Less:</b> Ineligible contributions paid or payable to the contributor	– \$
Contributions paid or payable to the clerk, including contributions from anonymous sources exceeding \$25	– \$
<b>Total Amount of Contributions (record under Income in Box C)</b>	<b>= \$ 6,435.45 1A</b>

### Part II – Contributions from candidate or spouse

**Table 1: Contributions in goods or services**

Description of Goods or Services	Date Received (yyyy/mm/dd)	Value (\$)
Website - Wix.com	2022/09/09	40.68
Website - Wix.com	2022/10/09	40.68
Website - Wix.com	2022/11/09	40.68
Cell phone - Virgin	2022/12/08	163.41
<b>Total</b>		<b>285.45</b>

Additional information is listed on separate supplementary attachment, if completed manually.

**Table 2: Inventory of campaign goods and materials from previous municipal campaign used in this campaign (Note: Value must be recorded as a contribution from the candidate and as an expense.)**

Description	Date Acquired (yyyy/mm/dd)	Supplier	Quantity	Current Market Value (\$)
<b>Total</b>				

Additional information is listed on separate supplementary attachment, if completed manually.

### Part III – Contributions exceeding \$100 per contributor – individuals other than candidate or spouse

**Table 3: Monetary contributions from individuals other than candidate or spouse**

Name	Full Address	Date Received (yyyy/mm/dd)	Amount Received (\$)	Amount Returned to Contributor or Paid to Clerk (\$)
MacDonald, Cameron	3-156 First Avenue, Ottawa, Ontario K1S 2G4	2022/09/22	150.00	
McDermott, Michael	330 Queen Elizabeth Drive, Ottawa, Ontario K1S 3M9	2022/08/22	150.00	
MacTavish, Malcolm	122 Second Avenue, Ottawa, Ontario K1S 2H5	2022/08/31	1,200.00	
Moroz, Michael	123 Fourth Avenue, Ottawa, Ontario, K1S 2L3	2022/08/31	500.00	
Anderson, Bob	130 Glebe Avenue, Ottawa, Ontario, K1S 2C5	2022/09/08	150.00	
Rogers, Tom	312 Bell Street S, Ottawa, Ontario, K1S 4K2	2022/09/11	150.00	
Cole, Sandra	210 Fifth Avenue, Ottawa, Ontario, K1S 2N2	2022/09/10	150.00	
McLeod, David	126 Kimberwick Cres, Ottawa, Ontario, K1V 1K7	2022/09/10	150.00	
Waters, Julie	126 Kimberwick Cres, Ottawa, Ontario, K1V 1K7	2022/09/10	150.00	
Brassard, Blair	61 First Avenue, Ottawa, Ontario, K1S 2G1	2022/09/20	1,000.00	
Hodgins, Arthur	14 Wilton, Cres, Ottawa, Ontario, K1S 2T5	2022/09/20	150.00	
Hodgins, Kerry	14 Wilton, Cres, Ottawa, Ontario, K1S 2T5	2022/09/20	150.00	
Tremblay, Francois	45-1121 Scarcliffe Road, Port Carling, Ontario, P0B 1J0	2022/09/04	150.00	
Lavergne, Christopher	1364 Holmes Cres, Ottawa, Ontario, K1V 7L1	2022/09/25	150.00	
Boyd, Ian	785 Bank Street, Ottawa, Ontario, K1S 3V5	2022/10/03	150.00	
Boyd, James	785 Bank Street, Ottawa, Ontario, K1S 3V5	2022/10/03	150.00	
Wilson, Mark	310-540 Cambridge Avenue, Ottawa, Ontario, K1S 5M7	2022/10/22	150.00	
Lavergne, Chris	401-808 Bronson Ave, Ottawa, Ontario, K1S 5A4	2022/10/12	150.00	
<b>Total</b>			<b>4,950.00</b>	

Additional information is listed on separate supplementary attachment, if completed manually.

**Table 4: Contributions in goods or services from individuals other than candidate or spouse**  
(Note: Must also be recorded as Expenses in Box C.)

Name	Full Address	Description of Goods or Services	Date Received (yyyy/mm/dd)	Value (\$)

Name	Full Address	Description of Goods or Services	Date Received (yyyy/mm/dd)	Value (\$)
<b>Total</b>				

Additional information is listed on separate supplementary attachment, if completed manually.

**Total for Part III – Contributions exceeding \$100 per contributor**  
**(Add totals from Table 3 and Table 4 and record the total in Part 1 – Summary of Contributions)**      \$ 4,950.00 1B

## Schedule 2 – Fundraising Events and Activities

Complete a separate schedule for each event or activity held.

Additional schedule(s) attached, if completed manually.

### Fundraising Event/Activity 1

Description of fundraising event/activity \_\_\_\_\_

Date of event/activity (yyyy/mm/dd) \_\_\_\_\_

#### Part I – Ticket revenue

Admission charge (per person) \$ \_\_\_\_\_ 2A

(If there are a range of ticket prices, attach complete breakdown of all ticket sales)

Number of tickets sold x \_\_\_\_\_ 2B

**Total Part I (2A X 2B) (include in Part I of Schedule 1)** = \$ \_\_\_\_\_

#### Part II – Other revenue deemed a contribution

Provide details (e.g., revenue from goods sold in excess of fair market value)

1.	_____	+ \$	_____
2.	_____	+ \$	_____
3.	_____	+ \$	_____
4.	_____	+ \$	_____
5.	_____	+ \$	_____

**Total Part II (include in Part I of Schedule 1)** = \$ \_\_\_\_\_

#### Part III – Other revenue not deemed a contribution

Provide details (e.g., contribution of \$25 or less; goods or services sold for \$25 or less)

1.	_____	+ \$	_____
2.	_____	+ \$	_____
3.	_____	+ \$	_____
4.	_____	+ \$	_____
5.	_____	+ \$	_____

**Total Part III (include under Income in Box C)** = \$ \_\_\_\_\_

#### Part IV – Expenses related to fundraising event or activity

Provide details

1.	Election night event	+ \$	1,071.92
2.	_____	+ \$	_____
3.	_____	+ \$	_____
4.	_____	+ \$	_____
5.	_____	+ \$	_____

**Total Part IV Expenses (include under Expenses in Box C)** = \$ **1,071.92**

## Auditor's Report – *Municipal Elections Act, 1996* (Section 88.25)

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report.

Professional Designation of Auditor  
Chartered Professional Accountant

Municipality Ottawa	Date (yyyy/mm/dd) 2023/03/16
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### Contact Information

Last Name or Single Name Holmes	Given Name(s) Ross	Licence Number 1-14402
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### Address

Suite/Unit Number 302	Street Number 150	Street Name Isabella Street
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Municipality Ottawa	Province Ontario	Postal Code K1S 1V7
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Telephone Number 613-231-5559	Email Address rossholmes@rogers.com
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The report must be done in accordance with generally accepted auditing standards and must:

- set out the scope of the examination
- provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement

Report is attached

Personal information, if any, collected on this form is obtained under the authority of sections 88.25 and 95 of the *Municipal Elections Act, 1996*. Under section 88 of the *Municipal Elections Act, 1996* (and despite anything in the *Municipal Freedom of Information and Protection of Privacy Act*) documents and materials filed with or prepared by the clerk or any other election official under the *Municipal Elections Act, 1996* are public records and, until their destruction, may be inspected by any person at the clerk's office at a time when the office is open. Campaign financial statements shall also be made available by the clerk in an electronic format free of charge upon request.



DANIEL ROGERS - CANDIDATE WARD 17  
FINANCIAL STATEMENT - AUDITOR'S REPORT CANDIDATE - FORM 4  
JANUARY 3, 2023

Independent Auditor's Report

**MITCHELL & HOLMES**  
CHARTERED PROFESSIONAL ACCOUNTANTS

B.N. Mitchell, B.A., CPA, CA  
R.D. Holmes, B. Comm., CPA, CA

150 Isabella Street, Suite 302  
Ottawa, Ontario  
K1S 1V7  
Tel: (613) 231-5559  
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INDEPENDENT AUDITOR'S REPORT

To the City of Ottawa Elections Office, Clerk:

**Opinion**

At the request of Daniel Rogers, we have audited Financial Statement - Auditor's Report Candidate - Form 4 (as defined in the Municipal Elections Act, 1996 (Section 88.25)) for the period ended January 3, 2023.

In our opinion, the accompanying Form 4 presents fairly, in all material respects, the financial information in accordance with the requirements of the Municipal Elections Act, 1996 (Section 88.25).

**Basis for Opinion**

We conducted our audit in accordance with Canadian generally accepted auditing standards. Our responsibilities under those standards are further described in the Auditor's Responsibilities for the Audit of Financial Statement - Auditor's Report Candidate - Form 4. We are independent of the candidate in accordance with the ethical requirements that are relevant to our audit of Form 4 in Canada, and we have fulfilled our ethical responsibilities in accordance with these requirements. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our opinion.

**Responsibilities of Management and Those Charged with Governance for the Financial Statement - Auditor's Report Candidate - Form 4**

Management is responsible for the preparation and fair presentation of the Financial Statement - Auditor's Report Canada - Form 4 in accordance with those requirements of the Municipal Elections Act, 1996 (Section 88.25), and for such internal control as management determines is necessary to enable the preparation of the schedule that is free from material misstatement, whether due to fraud or error.

In preparing Form 4, management is responsible for assessing the candidate's ability to continue as a going concern, disclosing, as applicable matters relating to going concern and using the going concern basis of accounting unless management either intends to cease operations.

Those charged with governance are responsible for overseeing the candidate's financial reporting process.

**Auditor's Responsibility for the Audit of Financial Statement - Auditor's Report Candidate - Form 4**

Our objectives are to obtain reasonable assurance about whether Form 4 as a whole is free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance, but is not a guarantee that an audit conducted in accordance with Canadian generally accepted auditing standards will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material, if individually or in the aggregate, they could reasonably be expected to influence the economic decision of users taken on the basis of this schedule.

**MITCHELL & HOLMES**  
CHARTERED PROFESSIONAL ACCOUNTANTS

B.N. Mitchell, B.A., CPA, CA  
R.D. Holmes, B. Comm., CPA, CA

150 Isabella Street, Suite 302  
Ottawa, Ontario  
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As part of an audit in accordance with Canadian generally accepted auditing standards, we exercise professional judgement and maintain professional skepticism throughout the audit. We also:

- Identify and assess the risks of material misstatement of Form 4, whether due to fraud or error, design and perform audit procedures responsive to those risks, and obtain audit evidence that is sufficient and appropriate to provide a basis for our opinion. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the candidate's internal control.
- Evaluate the appropriateness of accounting policies used and the reasonableness of accounting estimates and related disclosures made by management.
- Conclude on the appropriateness of management's use of the going concern basis of accounting and, based on the audit evidence, whether a material uncertainty exists related to events or conditions that may cast significant doubt on the candidate's ability to continue as a going concern. If we conclude that a material uncertainty exists, we are required to draw attention in our auditor's report to the related disclosures in the financial statements or, if such disclosures are inadequate, to modify our opinion. Our conclusions are based on the audit evidence obtained up to the date of our auditor's report.
- Evaluate the overall presentation, structure and content of Form 4, including the disclosures, and whether the schedule represent the underlying transactions and events in a manner that achieves fair presentation.

We communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit and significant audit findings, including any significant deficiencies in internal control that we identify during the audit.



MITCHELL & HOLMES  
Chartered Professional Accountants, Licensed Public Accountants

March 24, 2023  
Ottawa, Ontario

**Explanation**

By-law No. 2022-76 authorizes the payment of rebates to individuals who make contributions to participating candidates for office on the municipal council who comply with the provisions of the by-law. Under Subsection 7(d) of the by-law, “a participating candidate shall submit an additional form, one established for that purpose by the City Clerk, upon which the auditor confirms that he or she has verified all contributions against a bank statement for the campaign account.”

Name of Auditor: ROSS HOLMES

Professional Designation of Auditor: CPA, CA

Name of Candidate: DANIEL ROBERS  
(Please print candidate's full name)

Nominated candidate for the office of:

- Mayor
- Councillor, Ward 17

I, the undersigned, confirm that I have verified all contributions against the bank statement for the campaign account.

  
Signature of Auditor

2023-03-24  
Date (yyyy/mm/dd)