

Instructions

All candidates must complete Boxes A and B. Candidates who receive contributions or incur expenses must complete Boxes C, D, Schedule 1 and Schedule 2 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor's Report.

All surplus funds (after any refund to the candidate or their spouse) shall be paid immediately over to the clerk who is responsible for the conduct of the election.

For the campaign period from (day candidate filed nomination)

| | | | | | | |
|------|----|----|----|------|----|----|
| YYYY | MM | DD | to | YYYY | MM | DD |
| 2 | 0 | 1 | 8 | 0 | 5 | 0 |

^{SS} to

| | | |
|------|----|----|
| YYYY | MM | DD |
| 2 | 0 | 1 |

| | |
|----|----|
| MM | DD |
| 1 | 2 |

| |
|----|
| DD |
| 3 |

- Initial filing reflecting finances to December 31 (or 45 days after voting day in a by-election)
- Supplementary filing including finances after December 31 (or 45 days after voting day in a by-election)

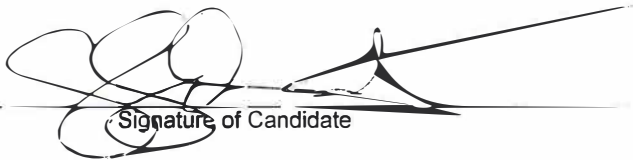
Box A: Name of Candidate and Office

| | |
|--|--|
| Candidate's name as shown on the ballot | |
| Last Name or Single Name SCHWARTZ | Given Name(s) SANDRA |
| Office for which the candidate sought election SCHOOL BOARD TRUSTEE (OCDSB) | Ward name or no. (if any) INNES/BEACON HILL-CYRVILLE (ZONE 12) |
| Municipality CITY OF OTTAWA | |
| Spending Limit - General \$ 30,035.05 | Spending Limit - Parties and Other Expressions of Appreciation \$ 3003.51 |

I did not accept any contributions or incur any expenses. (Complete Boxes A and B only)


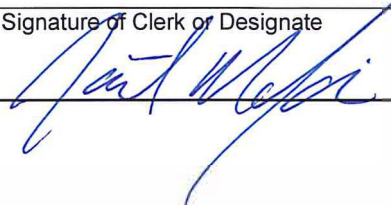
Box B: Declaration

I, SANDRA SCHWARTZ, declare that to the best of my knowledge and belief that these financial statements and attached supporting schedules are true and correct.


Signature of Candidate

2019/03/10

Date (yyyy/mm/dd)

| | | | |
|--|-----------------------------|---|--|
| Date Filed (yyyy/mm/dd) <u>2019/03/11</u> | Time Filed <u>4:30pm</u> | Initial of Candidate or Agent (if filed in person)  | Signature of Clerk or Designate  |
|--|-----------------------------|---|--|

Box C: Statement of Campaign Income and Expenses

LOAN

Name of bank or recognized lending institution _____
Amount borrowed \$ _____

INCOME

| | | |
|---|-------------|--------------------|
| Total amount of all contributions (from line 1A in Schedule 1) | + \$ | 5,530.00 |
| Revenue from items \$25 or less | + \$ | _____ |
| Sign deposit refund | + \$ | _____ |
| Revenue from fundraising events not deemed a contribution (from Part III of Schedule 2) | + \$ | _____ |
| Interest earned by campaign bank account | + \$ | _____ |
| Other (provide full details) | | |
| 1. _____ | + \$ | _____ |
| 2. _____ | + \$ | _____ |
| 3. _____ | + \$ | _____ |
| 4. _____ | + \$ | _____ |
| 5. _____ | + \$ | _____ |
| Total Campaign Income (Do not include loan) | = \$ | 5,530.00 C1 |

EXPENSES (Note: include the value of contributions of goods and services)

Expenses subject to general spending limit

| | | |
|--|-------------|--------------------|
| Inventory from previous campaign used in this campaign (list details in Table 4 of Schedule 1) | + \$ | 666.25 |
| Advertising | + \$ | 582.50 |
| Brochures/flyers | + \$ | 1,322.10 |
| Signs (including sign deposit) | + \$ | 2,045.08 |
| Meetings hosted | + \$ | 166.68 |
| Office expenses incurred until voting day | + \$ | _____ |
| Phone and/or internet expenses incurred until voting day | + \$ | _____ |
| Salaries, benefits, honoraria, professional fees incurred until voting day | + \$ | _____ |
| Bank charges incurred until voting day | + \$ | _____ |
| Interest charged on loan until voting day | + \$ | _____ |
| Other (provide full details) | | |
| 1. WEBSITE | + \$ | 226.21 |
| 2. NOMINATION FILING FEE | + \$ | 100.00 |
| 3. ADMAIL - CANADA POST | + \$ | 779.44 |
| 4. VOLUNTEER GIFTS | + \$ | 245.84 |
| 5. VOTERS LIST | + \$ | 22.60 |
| Total Expenses subject to general spending limit | = \$ | 6,156.70 C2 |

EXPENSES

Expenses subject to spending limit for parties and other expressions of appreciation

| | | |
|---|-------------|-----------------|
| 1. _____ | + \$ | _____ |
| 2. _____ | + \$ | _____ |
| 3. _____ | + \$ | _____ |
| 4. _____ | + \$ | _____ |
| 5. _____ | + \$ | _____ |
| Total Expenses subject to spending limit for parties and other expressions of appreciation | = \$ | _____ C3 |

Expenses not subject to spending limits

| | | |
|---|-------------|-----------|
| Accounting and audit | + \$ | |
| Cost of fundraising events/activities (list details in Part IV of Schedule 2) | + \$ | |
| Office expenses incurred after voting day | + \$ | |
| Phone and/or internet expenses incurred after voting day | + \$ | |
| Salaries, benefits, honoraria, professional fees incurred after voting day | + \$ | |
| Bank charges incurred after voting day | + \$ | |
| Interest charged on loan after voting day | + \$ | |
| Expenses related to recount | + \$ | |
| Expenses related to controverted election | + \$ | |
| Expenses related to compliance audit | + \$ | |
| Expenses related to candidate's disability (provide full details) | | |
| 1. _____ | + \$ | |
| 2. _____ | + \$ | |
| 3. _____ | + \$ | |
| 4. _____ | + \$ | |
| 5. _____ | + \$ | |
| Other (provide full details) | | |
| 1. _____ | + \$ | |
| 2. _____ | + \$ | |
| 3. _____ | + \$ | |
| 4. _____ | + \$ | |
| 5. _____ | + \$ | |
| Total Expenses not subject to spending limits | = \$ | C4 |

Total Campaign Expenses (C2 + C3 + C4) = \$ 6,156.70 C5

Box D: Calculation of Surplus or Deficit

| | | | |
|---|-------------|----------------|-----------|
| Excess (deficiency) of income over expenses (Income minus Total Expenses) (C1 – C5) | + \$ | -626.70 | D1 |
| Eligible deficit carried forward by the candidate from the last election (applies to 2018 regular election only) | - \$ | | D2 |
| Total (D1 – D2) | = \$ | -626.70 | |
| If there is a surplus, deduct any refund of candidate's or spouse's contributions to the campaign | - \$ | | |
| Surplus (or deficit) for the campaign | = \$ | -626.70 | D3 |

If line D3 shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who is responsible for the conduct of the election.

Schedule 1 - Contributions

Part I – Summary of Contributions

| | | |
|--|-------------|--------------------|
| Contributions in money from candidate and spouse | + \$ | 1,550.00 |
| Contributions in goods and services from candidate and spouse (include value listed in Table 3 and Table 4) | + \$ | _____ |
| Total value of contributions not exceeding \$100 per contributor | | |
| • Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor is \$100 or less (do not include contributions from candidate or spouse). | + \$ | 980.00 |
| Total value of contributions exceeding \$100 per contributor (from line 1B on page 5; list details in Table 1 and Table 2) | | |
| • Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor exceeds \$100 (do not include contributions from candidate or spouse). | + \$ | 3,000.00 |
| Less: Contributions returned or payable to the contributor | – \$ | _____ |
| Contributions paid or payable to the clerk, including contributions from anonymous sources exceeding \$25 | – \$ | _____ |
| Total Amount of Contributions (record under Income in Box C) | = \$ | 5,530.00 1A |

Part II – Contributions exceeding \$100 per contributor – individuals other than candidate or spouse

Table 1: Monetary contributions from individuals other than candidate or spouse

| Name | Full Address | Date Received | Amount Received \$ | Amount \$ Returned to Contributor or Paid to Clerk |
|--|--|---------------|--------------------|--|
| K. DIXON | 47 SOUTH PARK DR GLOUCESTER ON K1B 3B8 | 2018/08/17 | 200.00 | |
| D. BURKE | 2019 DEERHURST CRT. OTTAWA ON K1J 8H2 | 2018/08/23 | 300.00 | |
| E. SIMPSON | 34 GLENDONWYNNE RD. TORONTO ON M6P 3E9 | 2018/08/27 | 200.00 | |
| J. LAROCQUE | 44 ROCKCLIFFE WAY OTTAWA ON K1M 1B5 | 2018/10/01 | 100.00 | |
| P. GRATTON | 97 GLEBE AVE. OTTAWA ON K1S 2C2 | 2018/09/25 | 100.00 | |
| T. ASHTON | 1104-470 LAURIER AVE W OTTAWA ON K1R 7W9 | 2018/09/28 | 100.00 | |
| V. MCCOLL | 753 ISLAND PARK DR. OTTAWA ON K1Y 0B9 | 2018/10/02 | 150.00 | |
| H. METCALFE | 500 LAURIER AVE. W., UNIT 2407 OTTAWA ON K1R 5E1 | 2018/10/05 | 200.00 | |
| K. RUDD | 52 EAST HOUSE CRES. COBOURG ON K9A 5K3 | 2018/10/12 | 100.00 | |
| | | | Total | |
| <input type="checkbox"/> Additional information is listed on separate supplementary attachment | | | 1,450.00 | |

Part II – Contributions exceeding \$100 per contributor – individuals other than candidate or spouse**Table 1: Monetary contributions from individuals other than candidate or spouse**

| Name | Full Address | Date Received | Amount Received \$ |
|--------------|---|----------------------|---------------------------|
| G. SMITH | 49 SPRUCE ST., OTTAWA ON, K1R 6N8 | 2018/10/17 | 200 |
| D. STEIN | 179 STRATHCONA AVE., OTTAWA ON, K1S1X7 | 2018/10/20 | 100 |
| P. SWEETNAM | 117 COVERED BRIDGE WAY, CARP ON, K0A 1L0 | 2018/10/18 | 150 |
| R. CHIARELLI | 8 MUIRFIELD GDNS., NEPEAN ON, K2R 1B8 | 2018/10/08 | 200 |
| J. SHAW | 46 WESTPARK DR., GLOUCESTER ON, K1B 3E5 | 2018/10/01 | 100 |
| G. SCHWARTZ | 523 RIVERSHORE CRES., OTTAWA ON, K1J 7Y8 | 2018/09/21 | 200 |
| L. STILBORN | 1000-275 SLATER ST., OTTAWA ON, K1P 5H9 | 2018/08/31 | 150 |
| D. NIGHBOUR | 40 NEPEAN ST., SUITE 2603, OTTAWA ON, K2P 0X5 | 2018/10/01 | 200 |
| J. MALONEY | 1092 ISLINGTON AVE., SUITE 202, TORONTO ON, M8Z 4R9 | 2018/10/01 | 150 |
| I. JACK | 1545 CARLONG AVE., OTTAWA ON K1Z 8P9 | 2018/10/01 | 100 |
| | | | |
| TOTAL | | | 1550 |

Table 2: Contributions in goods or services from individuals other than candidate or spouse
 (Note: must also be recorded as Expenses in Box C)

| Name | Full Address | Description of Goods or Services | Date Received (yyyy/mm/dd) | Value \$ |
|------|--------------|----------------------------------|----------------------------|----------|
| | | | | |
| | | | | |
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| | | | | |
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| | | | | |
| | | | | |

Additional information is listed on separate supplementary attachment **Total**

Total for Part II - Contributions exceeding \$100 per contributor
 (Add totals from Table 1 and Table 2 and record the total in Part 1 - Summary of Contributions) **\$ 1,450.00 1B**

Part III – Contributions from candidate or spouse

Table 3: Contributions in goods or services

| Description of Goods or Services | Date Received (yyyy/mm/dd) | Value \$ |
|----------------------------------|----------------------------|----------|
| | | |
| | | |
| | | |
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| | | |
| | | |

Additional information is listed on separate supplementary attachment **Total**

Table 4: Inventory of campaign goods and materials from previous municipal campaign used in this campaign
 (Note: value must be recorded as a contribution from the candidate and as an expense)

| Description | Date Acquired (yyyy/mm/dd) | Supplier | Quantity | Current Market Value \$ |
|--|-------------------------------|-------------|----------|----------------------------|
| SIGNS | 2014/08/06 | PRINT 1 | 125 | 431.25 |
| SIGNS | 2014/09/29 | KELLY SIGNS | 20 | 235.00 |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| <input type="checkbox"/> Additional information is listed on separate supplementary attachment | | | | Total 666.25 |

Schedule 2 – Fundraising Events and Activities

Fundraising Event/Activity

Complete a separate schedule for each event or activity held

Additional schedule(s) attached

Description of fundraising event/activity _____

Date of event/activity (yyyy/mm/dd) _____

Part I – Ticket revenue

Admission charge (per person)

(If there are a range of ticket prices, attach complete breakdown of all ticket sales)

Number of tickets sold

\$ _____ 2A
X _____ 2B

Total Part I (2A X 2B) (include in Part 1 of Schedule 1) = \$ _____

Part II – Other revenue deemed a contribution

(e.g. revenue from goods sold in excess of fair market value)

Provide details

1. _____ + \$ _____
2. _____ + \$ _____
3. _____ + \$ _____
4. _____ + \$ _____
5. _____ + \$ _____

Total Part II (include in Part 1 of Schedule 1) = \$ _____

Part III – Other revenue not deemed a contribution

(e.g. contribution of \$25 or less; goods or services sold for \$25 or less)

Provide details

1. _____ + \$ _____
2. _____ + \$ _____
3. _____ + \$ _____
4. _____ + \$ _____
5. _____ + \$ _____

Total Part III (include under Income in Box C) = \$ _____

Part IV – Expenses related to fundraising event or activity

Provide details

1. _____ + \$ _____
2. _____ + \$ _____
3. _____ + \$ _____
4. _____ + \$ _____
5. _____ + \$ _____
6. _____ + \$ _____
7. _____ + \$ _____
8. _____ + \$ _____

Total Part IV Expenses (include under Expenses in Box C) = \$ _____

Auditor's Report*Municipal Elections Act, 1996 (Section 88.25)*

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report.

Professional Designation of Auditor

Municipality

Date (yyyy/mm/dd)

Contact Information

Last Name or Single Name

Given Name(s)

Licence Number

Address

Suite/Unit No.

Street No.

Street Name

Municipality

Province

Postal Code

Telephone No. (including area code)

Email Address

The report must be done in accordance with generally accepted auditing standards and must:

- set out the scope of the examination
- provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement

 Report is attached

Personal information, if any, collected on this form is obtained under the authority of sections 88.25 and 95 of the *Municipal Elections Act, 1996*. Under section 88 of the *Municipal Elections Act, 1996* (and despite anything in the *Municipal Freedom of Information and Protection of Privacy Act*) documents and materials filed with or prepared by the clerk or any other election official under the *Municipal Elections Act, 1996* are public records and, until their destruction, may be inspected by any person at the clerk's office at a time when the office is open. Campaign financial statements shall also be made available by the clerk in an electronic format free of charge upon request.