

Municipal Elections Act, 1996 (Section 88.25)

Instructions:

All candidates must complete Boxes A and B. Candidates who receive contributions or incur expenses must complete Boxes C, D, Schedule 1 and Schedule 2 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor’s Report.

All surplus funds (after any refund to the candidate or his or her spouse) shall be paid immediately over to the clerk who is responsible for the conduct of the election.

For the campaign period from (day candidate filed nomination) 2018/05/01 to 2018/12/31

Check box marked: Initial filing reflecting finances to December 31 (or 45<sup>th</sup> day after voting day in a by-election)

Check box unmarked: Supplementary filing including finances after December 31 (or 45<sup>th</sup> day after voting day in a by-election)

**Box A: Name of Candidate and Office**

Candidate’s name as shown on the ballot

Last name or Single Name: Carricato

Given Name(s): Anthony

Office for which the candidate sought election: Councillor

Ward name or number (if any): 17 Capital

Municipality: City of Ottawa

Spending Limit – General: \$26,428.50

Spending Limit – Parties and Other Expressions of Appreciation: \$2,642.85

Check box unmarked: I did not accept any contributions or incur expenses (Complete Box A and B only)

**Box B: Declaration**

I, Anthony Carricato, declare that to the best of my knowledge and belief that these financial statements and attached supporting schedules are true and correct.

Signature of Candidate

Date (Year/Month/Day): 2019/03/12

Date Filed (Year/Month/Day): 2019/03/26

Time Filed: 10:39 a.m.

Initial of Candidate or Agent (if filed in person)

Signature of Clerk of Designate

## **Box C: Statement of Campaign Income and Expenses**

### **Loan**

Name of bank or recognized lending institution: Not Provided

Amount borrowed: \$0.00

### **Income**

Total amount of all contributions (from line 1A in Schedule 1): \$ 26,700.47

Revenue from items \$25 or less: \$ 0.00

Sign deposit refund: \$ 0.00

Revenue from fundraising events not deemed a contribution (from Part 3 of Schedule 2): \$ 0.00

Interest earned by campaign bank account: \$ 0.00

Other (provide full details): No Other Income

Line C1: Total Campaign Income (Do not include loan): \$ 26,700.47

### **Expenses (Note: Include the value of contributions of goods and services)**

#### **Expenses subject to general spending limit**

Inventory from previous campaign used in this campaign (list details in Table 4 of Schedule 1): \$ 0.00

Advertising: \$ 2,448.19

Brochures/flyers: \$ 7,907.14

Signs (including sign deposit): \$ 5,656.59

Meetings hosted: \$ 1,825.32

Office expenses incurred until voting day: \$ 100.57

Phone and or internet expenses incurred until voting day: \$ 264.14

Salaries, benefits, honoraria, professional fees incurred until voting day: \$ 1,076.00

Bank charges incurred until voting day: \$ 1,444.91

Interest charged on loan until voting day: \$ 0.00

Other (provide full details):

1. T-shirts:\$ 1,364.62
2. Buttons:\$ 717.28
3. Food for GGGs:\$ 193.49
4. Pride registration \$339 and pride supplies \$447.08:\$ 816.08

5. Donation to Tree Canada:\$ 60.00

Line C2: Total Expenses subject to general spending limit: \$ 23,574.33

**Expenses subject to spending limit for parties and other expressions of appreciation: No other expenses subject to spending limit**

Line C3: Total Expenses subject to spending limit for parties and other expressions of appreciation: \$0.00

**Expenses not subject to spending limits**

Accounting and audit: \$ 3,051.00

Cost of fundraising events or activities (list details in Part 4 of Schedule 2): \$ 0.00

Office expenses incurred after voting day: \$ 0.00

Phone and or internet expenses incurred after voting day: \$ 0.00

Salaries, benefits, honoraria, professional fees incurred after voting day: \$ 0.00

Bank charges incurred after voting day: \$ 8.50

Interest charged on loan after voting day: \$ 0.00

Expenses related to recount: \$ 0.00

Expenses related to controverted election: \$ 0.00

Expenses related to compliance audit: \$ 0.00

Expenses related to candidate's disability (provide full details): No expenses related to candidate's disability.

Other (provide full details): No other expenses not subject to spending limit

Line C4: Total expenses not subject to spending limits: \$ 3,059.50

Line C5: Total Campaign Expenses (Line C2 + Line C3 + Line C4): \$ 26,633.83

**Box D: Calculation of Surplus of Deficit**

Line D1: Excess (deficiency) of income over expenses (Income minus Total Expenses) (Line C1 – Line C5): \$ 66.64

Line D2: Eligible deficit carried forward by the candidate from the last election (applies to 2018 regular election only): \$ 0.00

Total (Line D1 – Line D2): \$ 66.64

If there is a surplus, deduct any refund of candidate's or spouse's contributions to the campaign: \$ 0.00

Line D3: Surplus (or deficit) for the campaign: \$ 66.64

If line D3 shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who was responsible for the conduct of the election.

## Schedule 1 – Contributions

### Part 1 – Summary of Contributions

Contributions in money from candidate and spouse: \$800.00

Contributions in goods and services from candidate and spouse (include value listed in Table 3 and Table 4): \$791.45

Total value of contributions not exceeding \$100 per contributor (Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor is \$100 or less (do not include contributions from candidate or spouse)): \$ 8,924.02

Total value of contributions exceeding \$100 per contributor (from line 1B on page 5; list details in Tables 1 and Table 2) (Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor exceeds \$100 (do not include contributions from candidate or spouse)): \$ 16,735.00

Less: Contributions returned or payable to the contributor: \$ 350.00

Less: Contributions paid or payable to the clerk, including contributions from anonymous sources exceeding \$25: \$ 200.00

Line 1A: Total amount of contributions (record under Income in Box C): \$ 26,700.47

### Part 2 – Contributions exceeding \$100 per contributor – individuals other than candidate or spouse

**Table 1: Monetary contributions from individuals other than candidate or spouse**

Name	Full Address	Date Received	Amount \$	Amount \$ Returned to Contributor or Paid to the City Clerk
Jamila Marie Pineda	198 rue Laval, Gatineau, K8K 3J5	29-Aug-18	\$150.00	\$150.00
Nina Mirzoeva	Ph2-169 John St, Toronto, M5T 1X3	17-May-18	\$400.00	\$200.00
Robert McDonald	181 Cathcart St, Ottawa, ON, K1N 5C1	29-Aug-19	\$300.00	\$0.00
Joel Fryer	110 Michigan Ave, Box 188, Blind River, ON, P0R 1B0	22-Oct-18	\$150.00	\$0.00
James Colizza	76 Chamberlain Ave, Ottawa, ON, K1S 1V9	18-Oct-18	\$350.00	\$0.00

Name	Full Address	Date Received	Amount \$	Amount \$ Returned to Contributor or Paid to the City Clerk
Ron Chiarelli	905-100 Grant Carman Dr, Ottawa, ON, K2E 8B8	16-Oct-18	\$200.00	\$0.00
Michael Scivens	540 Queen Elizabeth Dr, Ottawa, ON, K1S 3N4	16-Oct-18	\$200.00	\$0.00
Claire Trepanier	10 Clarey Ave, Ottawa, ON, K1S 2R7	10-Oct-18	\$200.00	\$0.00
Christopher Spina	583 Laurentian Dr, Thunder Bay, ON, P7C 5J9	10-Oct-18	\$200.00	\$0.00
Lori Maccarato	35 Bennett Blvd, Sault Ste Marie, ON, P6A 5S6	07-Oct-18	\$150.00	\$0.00
Nadine Arnew	61 Lanewood Dr, Aurora, ON, L4G 4V4	02-Oct-18	\$150.00	\$0.00
Derek Croissant	182 Black Rd, Ottawa, ON, P6A 0C8	02-Oct-18	\$250.00	\$0.00
Lydia DiFrancesco	4-102 Cambridge St N, Ottawa, ON, K1R 7A7	28-Sep-18	\$150.00	\$0.00
Katherine Levitt	101 Glebe Ave, Ottawa, ON, K1S 2C2	25-Sep-18	\$200.00	\$0.00
Andre Albinati	510 Gilmour St, Ottawa, ON, K1R 5L5	25-Sep-18	\$400.00	\$0.00
Mary Carter	308-300 Lutz St, Ottawa, ON, K1R 0B8	25-Sep-18	\$355.00	\$0.00
Isabel Metcalfe	17 Powell Ave, Ottawa, ON, K1S 2A2	25-Sep-18	\$230.00	\$0.00
Hilary Martin	4-447 McLeod St, Ottawa, ON, K1R 5P5	25-Sep-18	\$230.00	\$0.00

Name	Full Address	Date Received	Amount \$	Amount \$ Returned to Contributor or Paid to the City Clerk
Patrick Kennedy	303-1035 Bank St, Ottawa, ON, K1S 5K3	25-Sep-18	\$250.00	\$0.00
Velma McColl	753 Island Park Dr, Ottawa, ON, K1Y 0B9	24-Sep-18	\$200.00	\$0.00
Kenneth Mackay	53-259 Botanica Pvt, Ottawa, ON, K1Y 4P8	13-Sep-18	\$150.00	\$0.00
Geoffrey Norquay	100 Condor Dr, Ottawa, ON, K1V 9C3	12-Sep-18	\$400.00	\$0.00
Gren MacEachern	17-2 Montcalm St, Ottawa, ON, K1S 5K9	07-Sep-18	\$350.00	\$0.00
Phil Cartwright	207 Hopewell Ave, Ottawa, ON, K1S 5R9	04-Sep-18	\$200.00	\$0.00
Heather Bradley	540 Queen Elizabeth Dr, Ottawa, ON, K1S 3N4	29-Aug-18	\$120.00	\$0.00
Anita Caputo	77 Hansen Ave, Ottawa, ON, K2K 2L7	29-Aug-18	\$130.00	\$0.00
Elizabeth Rody	1209 Bordeau Grove, Ottawa, ON, K1C 2M6	29-Aug-18	\$130.00	\$0.00
Kevin Caputo	77 Hansen Ave, Ottawa, ON, K2K 2L7	29-Aug-18	\$130.00	\$0.00
Kylie Wilcokcs	905-261 Cooper St, Ottawa, ON, K2P 0G3	29-Aug-18	\$130.00	\$0.00
James Patrick	11 Montcalm St, Ottawa, ON, K1S 0A2	29-Aug-18	\$400.00	\$0.00
Donna Carricato	12 Plummer Crt, Sault Ste Marie, ON, P6B 6E4	29-Aug-18	\$500.00	\$0.00



Name	Full Address	Date Received	Amount \$	Amount \$ Returned to Contributor or Paid to the City Clerk
Robert Carricato	12 Plummer St, Sault Ste Marie, ON, P6B 6E4	29-Aug-18	\$500.00	\$0.00
Jeff Devalk	16 Queen Mary St, Ottawa, ON, K1K 1Y2	29-Aug-18	\$300.00	\$0.00
Jennifer Ahde	210-1335 Carling Ave, Ottawa, ON, J8X 3J5	29-Aug-18	\$300.00	\$0.00
Steve Lamirande	9 Howick Pl, Ottawa, ON, K1S 3M2	29-Aug-18	\$160.00	\$0.00
Anita Blais	704-261 Cooper St, Ottawa, ON, K2P 0G3	29-Aug-18	\$180.00	\$0.00
Sophie Normand	1228 Melody Cres, Peterborough, ON, K9K 2P9	28-Aug-18	\$250.00	\$0.00
Casey Dowling	26 Bethune Way, Ottawa, ON, K2K 1C3	28-Aug-18	\$300.00	\$0.00
Caroline Williams	145 Fordham Pvt, Ottawa, ON, K2C 4G5	27-Aug-18	\$160.00	\$0.00
Huw Williams	300-222 Queen St, Ottawa, ON, K1P 5V9	24-Aug-18	\$600.00	\$0.00
Arunan Sridharan	323 Richmond St E, Toronto, ON, M5A 4R3	18-Aug-18	\$200.00	\$0.00
Jenna Drummond-Young	154 Queen Mary St, Ottawa, ON, K1K 1X5	17-Aug-18	\$200.00	\$0.00
Nadinee Pal	220 Queen Elizabeth Dr, Ottawa, ON, K1S 3M2	07-Aug-18	\$160.00	\$0.00
Alexander Rouselle	87A Barons Ave S, Hamilton, ON, L8K 2Y4	03-Aug-18	\$300.00	\$0.00

Name	Full Address	Date Received	Amount \$	Amount \$ Returned to Contributor or Paid to the City Clerk
Derek Nighbor	2603-40 Nepean St, Ottawa, ON, K2P 0X5	29-Jul-18	\$200.00	\$0.00
Pierre Debane	391 Echo Dr, Ottawa, ON, K1S 1N3	29-Jul-18	\$200.00	\$0.00
Pascal Chan	52 Four Season Dr, Ottawa, ON, K2E 7P8	20-Jul-18	\$200.00	\$0.00
Jane Clark	173 Avon Lane, Ottawa, ON, K1M 1V2	12-Jul-18	\$150.00	\$0.00
Justin Coughlan	68 Vaughan St, Ottawa, ON, K1M 1X2	08-Jul-18	\$150.00	\$0.00
Wayne Critchley	700 Sussex Dr, Ottawa, ON, K1N 1K4	05-Jul-18	\$250.00	\$0.00
Tim Powers	290 B Avondale Ave, Ottawa, ON, K1Z 7G8	19-Jun-18	\$200.00	\$0.00
Susan Smith	382 HUron Ave S, Ottawa, ON, K1Y 0W8	18-Jun-18	\$200.00	\$0.00
Gerry Spinea	464 Pall Mall St, London, ON, N5Y 2Z2	04-Jun-18	\$600.00	\$0.00
Adam Carricato	12 PLummer Crt, Sault Ste Marie, ON, P6B 6E4	03-Jun-18	\$200.00	\$0.00
James Larsen	PH02-169 John St, Toronto, ON, M5T 1X3	17-May-18	\$200.00	\$0.00
Nina Mirzoeva	PH2-169 John St, Toronto, ON, M5T 1X3	17-May-18	\$200.00	\$0.00
Kate Klenavic	73 Anderson St, Ottawa, ON, K1R 6T6	15-May-18	\$200.00	\$0.00

Name	Full Address	Date Received	Amount \$	Amount \$ Returned to Contributor or Paid to the City Clerk
Kelly Mounce	423 Lisgar St, Ottawa, ON, K1R 5G9	14-May-18	\$350.00	\$0.00
Sara Fryer	5-53 McLaren St, Ottawa, ON, K2P 0K3	11-May-18	\$150.00	\$0.00
Alex Telka	2-104 Springfield Rd, Ottawa, ON, K1M 1C6	11-May-18	\$560.00	\$0.00
Andrew Calder	396 Winston Ave, Ottawa, ON, K2A 1Y5	11-May-18	\$110.00	\$0.00
Louis Lanthier	8 Mosgrove Ave, Ottawa, ON, K2B 7W7	11-May-18	\$250.00	\$0.00
Marc Drolet	6-228 Fifth Ave, Ottawa, ON, K1S 2N3	11-May-18	\$200.00	\$0.00
Andrew Lucid	2 Marion Ave, Ottawa, ON, K1K 3T5	09-May-18	\$200.00	\$0.00
Marie Chantal Theriault	901-70 Landry St, Ottawa, ON, K1L 0A8	06-May-18	\$1,000.00	\$0.00
Joey Taylor	2603-40 Nepean St, Ottawa, ON, K2P 0X5	10-Mar-18	\$200.00	\$0.00

Total amount of monetary contributions from individuals other than candidate or spouse:  
\$ 16,735.00

**Table 2: Contributions in goods and services from individuals other than candidate or spouse (Note: must also be recorded as Expenses in Box C)**

Name	Full Address	Description of Goods and Services	Date Received (Year/Month/Day)	Value \$
Not Provided	Not Provided	Not Provided	Not Provided	\$0.00

Total amount of contributions in goods and services from individuals other than candidate or spouse: \$ 0.00

Line 1B - Total for Part 2 – Contributions exceeding \$100 per contributor (Add totals from Table 1 and Table 2 and record the total in Part 1 – Summary of Contributions): \$16,735.00

**Part 3 – Contributions from candidate or spouse**

**Table 3: Contributions in goods or services**

Description of Goods and Services	Date Received (Year/Month/Day)	Value \$
Pasta ingredients and plating supplies	2018/08/28	\$791.45

Total value of goods or services from candidate or spouse: \$ 791.45

**Table 4: Inventory of campaign goods and materials from previous municipal campaign used in this campaign (Note: value must be recorded as a contribution from the candidate and as an expense)**

Description	Date Acquired (Year/Month/Day)	Supplier	Quantity	Current Market Value \$
Not Provided	Not Provided	Not Provided	Not Provided	\$0.00

Total value of inventory of campaign goods and materials from previous municipal campaign used in this campaign: \$ 0.00

**Schedule 2 – Fundraising Events and Activities**

**Fundraising Event or Activity**

Complete a separate schedule for each event or activity held

Check box unmarked: Additional schedule(s) attached

Description of fundraising event/activity: Not Provided

Date of event/activity (Year/Month/Day): Not Provided

**Part 1 – Ticket revenue**

Line 2A: Admission charge (per person) (if there are a range of ticket prices, attach complete breakdown of all ticket sales): \$ 0.00

Line 2B: Number of tickets sold: 0

Total Part 1 (Line 2A x 2B) (include in Part 1 of Schedule 1): \$ 0.00

**Part 2 – Other revenue deemed a contribution**

(e.g. revenue from goods sold in excess of fair market value)

Provide details

Total Part 2 (include in Part 1 of Schedule 1): \$ 0.00

**Part 3 – Other revenue not deemed a contribution**

(e.g. contribution of \$25 or less; goods or services sold for \$25 or less)

Provide details

Total Part 3 (include under Income in Box C): \$ 0.00

**Part 4 – Expenses related to fundraising event or activity**

Provide details

Total Part 4 Expenses (include under Expenses in Box C): \$ 0.00

**Auditor's Report**

Municipal Elections Act, 1996 (section 88.25)

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report.

Professional Designation of Auditor: CPA, CA

Municipality: City of Ottawa

Date (Year/Month/Day): 2019/03/12

Contact information

Last Name or Single Name: Lupinski

Given Name(s): Ted

Licence Number: 1-11256

Address - Suite or Unit Number. Street number and Street Name: 2 – 137 Second Avenue

Municipality, Province and Postal Code: Ottawa, Ontario K1S 2H4

Telephone Number (including area code): (613) 233-7771

Email Address: tedlupinski@rogers.com

The report must be done in accordance with generally accepted auditing standards and must set out the scope of the examination and provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement

Check box marked: Report is attached

Personal information, if any, collected on this form is obtained under the authority of sections 88.25 and 95 of the Municipal Elections Act, 1996. Under section 88 of the Municipal Elections Act, 1996 (and despite anything in the Municipal Freedom of Information and Protection of Privacy Act) documents and materials filed with or prepared by the clerk or any other election official under the Municipal Elections Act, 1996 are public records and until their destruction, may be inspected by any person at the clerk's office at a time when the office is open. Campaign financial statements shall also be made available by the clerk in an electronic format free of charge upon request.

TL Ted R Lupinski CPA Chartered Professional Accountant

## INDEPENDENT AUDITOR'S REPORT

MUNICIPAL ELECTIONS ACT, 1996

To: City of Ottawa Elections Office, Clerk

### Opinion

I have verified all contributions against the bank statement for the Anthony Carricato Campaign account and have audited the Statement of Campaign Income and Expenses and the calculation of Surplus or Deficit of Anthony Carricato, Candidate, for the campaign period from May 1, 2018 to December 31, 2018 relating to the election held on October 22, 2018.

Due to the nature of the types of transactions inherent in an election campaign, it is impracticable through auditing procedures to determine that the accounting records include all donations of goods and services, and receipts and disbursements. Accordingly, my verification of these transactions was limited to ensuring that the financial statements reflect the amounts recorded in the accounting records of Anthony Carricato, Candidate, in accordance with the accounting procedures established by the Municipal Elections Act, 1996 and I was not able to determine whether any adjustments might be necessary to income and expenses and surplus or deficit.

I believe that the audit evidence I have obtained is sufficient and appropriate to provide a basis for my audit opinion.

In my opinion, except for the effect of adjustments, if any, which I might have determined to be necessary had I been able to satisfy myself as to the completeness of the records as described in a preceding paragraph, these financial statements present fairly, in all material respects, the income and expenses for the campaign period from May 1, 2018 to December 31, 2018 and the determination of surplus or deficit and the disposition of surplus in accordance with the accounting treatment prescribed by the Municipal Elections Act, 1996.

### Basis for Opinion

I conducted my audit in accordance with Canadian generally accepted auditing standards. My responsibilities under those standards are further described in the Auditor's Responsibilities for the Audit of the Financial Statements section of my report. I am independent of the Candidate in accordance with the ethical requirements that are relevant to my audit of the financial statements in Canada, and I have fulfilled my other ethical responsibilities in accordance with these requirements. I believe that the audit evidence I have obtained is sufficient and appropriate to provide a basis for my opinion.

### Responsibilities of the Candidate

The Candidate is responsible for the preparation and fair presentation of the financial statements in accordance with the Municipal Elections Act, 1996, and for such internal control as the Candidate determines is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.

#### Auditor's Responsibilities for the Audit of the Financial Statements

My objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement (whether due to fraud or error) and to issue an auditor's report that includes my opinion. Reasonable assurance is a high level of assurance, but it is not a guarantee that an audit conducted in accordance with Canadian generally accepted auditing standards will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in the aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of these financial statements.

#### Report on Other Legal and Regulatory Requirements

The Municipal Elections Act, 1996 does not require me to report, nor was it practicable for me to determine, that contributions reported include only those which may be properly retained in accordance with the provisions of the Municipal Elections Act, 1996.

Ottawa, March 12, 2019

(Auditor's signature)

Ted R. Lupinski, C.P.A., C.A. Professional  
Corporation, Authorized to practise public accounting  
by CPA Ontario

Ted R. Lupinski, B.Sc., M.B.A., C.P.A., C.A. \*  
2-137 Second Avenue, Ottawa, Ontario, Canada K1S 2H4  
Tel: 613.233.7771 Fax: 613.233.3442 Email: [tedlupinski@rogers.com](mailto:tedlupinski@rogers.com)

operating as Ted R. Lupinski. C.P.A ., C.A. Professional Corporation