

Municipal Elections Act, 1996 (Section 88.25)

**Instructions:**

All candidates must complete Boxes A and B. Candidates who receive contributions or incur expenses must complete Boxes C, D, Schedule 1 and Schedule 2 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor’s Report.

All surplus funds (after any refund to the candidate or his or her spouse) shall be paid immediately over to the clerk who is responsible for the conduct of the election.

For the campaign period from (day candidate filed nomination) 2019/02/05 to 2019/05/30

Check box marked: Initial filing reflecting finances to December 31 (or 45<sup>th</sup> day after voting day in a by-election)

Check box unmarked: Supplementary filing including finances after December 31 (or 45<sup>th</sup> day after voting day in a by-election)

**Box A: Name of Candidate and Office**

Candidate’s name as shown on the ballot

Last name or Single Name: King

Given Name(s): Rawlson

Office for which the candidate sought election: Councillor

Ward name or number (if any): Rideau-Rockcliffe – Ward 13

Municipality: Ottawa

Spending Limit – General: \$28,159.10

Spending Limit – Parties and Other Expressions of Appreciation: \$2,815.91

Check box unmarked: I did not accept any contributions or incur expenses (Complete Box A and B only)

**Box B: Declaration**

I, Rawlson King, declare that to the best of my knowledge and belief that these financial statements and attached supporting schedules are true and correct.

Signature of Candidate

Date (Year/Month/Day): 2019/06/27

Date Filed (Year/Month/Day): 2019/06/27

Time Filed: 2:22 p.m.

Initial of Candidate or Agent (if filed in person)

Signature of Clerk of Designate

## **Box C: Statement of Campaign Income and Expenses**

### **Loan**

Name of bank or recognized lending institution: Not provided

Amount borrowed: \$0.00

### **Income**

Total amount of all contributions (from line 1A in Schedule 1): \$ 22,274.74

Revenue from items \$25 or less: \$ 0.00

Sign deposit refund: \$ 0.00

Revenue from fundraising events not deemed a contribution (from Part 3 of Schedule 2): \$ 0.00

Interest earned by campaign bank account: \$ 0.00

Other (provide full details): No Other Income

Line C1: Total Campaign Income (Do not include loan): \$ 22,274.74

### **Expenses (Note: Include the value of contributions of goods and services)**

#### **Expenses subject to general spending limit**

Inventory from previous campaign used in this campaign (list details in Table 4 of Schedule 1): \$ 0.00

Advertising: \$ 728.54

Brochures/flyers: \$ 1,689.66

Signs (including sign deposit): \$ 3,263.37

Meetings hosted: \$ 743.61

Office expenses incurred until voting day: \$ 2,151.70

Phone and or internet expenses incurred until voting day: \$ 2,713.36

Salaries, benefits, honoraria, professional fees incurred until voting day: \$ 9,349.96

Bank charges incurred until voting day: \$ 69.71

Interest charged on loan until voting day: \$ 0.00

Other (provide full details):

1. MERCHANT FEES FOR CREDIT CARD PROCESSING: \$ 225.40
2. TRANSPORT: \$ 289.13
3. MAPS: \$ 25.30

Line C2: Total Expenses subject to general spending limit: \$ 21,249.74

**Expenses subject to spending limit for parties and other expressions of appreciation:**

1. Election day party: \$ 149.09

Line C3: Total Expenses subject to spending limit for parties and other expressions of appreciation: \$149.09

**Expenses not subject to spending limits**

Accounting and audit: \$ 4,322.25

Cost of fundraising events or activities (list details in Part 4 of Schedule 2): \$ 0.00

Office expenses incurred after voting day: \$ 0.00

Phone and or internet expenses incurred after voting day: \$ 0.00

Salaries, benefits, honoraria, professional fees incurred after voting day: \$ 0.00

Bank charges incurred after voting day: \$ 4.95

Interest charged on loan after voting day: \$ 0.00

Expenses related to recount: \$ 0.00

Expenses related to controverted election: \$ 0.00

Expenses related to compliance audit: \$ 0.00

Expenses related to candidate's disability (provide full details): No expenses related to candidate's disability.

Other (provide full details): No other expenses not subject to spending limit.

Line C4: Total expenses not subject to spending limits: \$ 4,327.20

Line C5: Total Campaign Expenses (Line C2 + Line C3 + Line C4): \$ 25,726.03

**Box D: Calculation of Surplus of Deficit**

Line D1: Excess (deficiency) of income over expenses (Income minus Total Expenses) (Line C1 – Line C5): \$ -3,451.29

Line D2: Eligible deficit carried forward by the candidate from the last election (applies to 2018 regular election only): \$ 0.00

Total (Line D1 – Line D2): \$ -3,451.29

If there is a surplus, deduct any refund of candidate's or spouse's contributions to the campaign: \$ 0.00

Line D3: Surplus (or deficit) for the campaign: \$-3,451.29

If line D3 shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who was responsible for the conduct of the election.

## Schedule 1 – Contributions

### Part 1 – Summary of Contributions

Contributions in money from candidate and spouse: \$7,126.14

Contributions in goods and services from candidate and spouse (include value listed in Table 3 and Table 4): \$0.00

Total value of contributions not exceeding \$100 per contributor (Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor is \$100 or less (do not include contributions from candidate or spouse)): \$ 4,674.60

Total value of contributions exceeding \$100 per contributor (from line 1B on page 5; list details in Tables 1 and Table 2) (Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor exceeds \$100 (do not include contributions from candidate or spouse)): \$ 10,536.00

Less: Contributions returned or payable to the contributor: \$ 62.00

Less: Contributions paid or payable to the clerk, including contributions from anonymous sources exceeding \$25: \$ 0.00

Line 1A: Total amount of contributions (record under Income in Box C): \$ 22,274.74

### Part 2 – Contributions exceeding \$100 per contributor – individuals other than candidate or spouse

**Table 1: Monetary contributions from individuals other than candidate or spouse**

Name	Full Address	Date Received	Amount \$	Amount \$ Returned to Contributor or Paid to the City Clerk
Heather Anderson	11 Sims Ave, Ottawa, ON, K1Y 3J9	04-Apr-19	\$31.00	\$0.00
Heather Anderson	11 Sims Ave, Ottawa, ON, K1Y 3J9	14-Feb-19	\$100.00	\$0.00
Rachel Baxter	270 Park Rd, Ottawa, ON, K1M 0E1	03-Apr-19	\$200.00	\$0.00
James Brunet	2161 Plessier St, Ottawa, ON, K1G 2W9	06-Apr-19	\$400.00	\$0.00

Name	Full Address	Date Received	Amount \$	Amount \$ Returned to Contributor or Paid to the City Clerk
James Brunet	2161 Plesser St, Ottawa, ON, K1G 2W9	06-Mar-19	\$100.00	\$0.00
Chris Ellis	34 Wolff St, Ottawa, ON, K1K 1K7	10-Feb-19	\$200.00	\$0.00
Gerard Etienne	10 Maple Park Pvt, Ottawa, ON, K1T 4A6	12-Mar-19	\$500.00	\$0.00
Gerard Etienne	10 10 Maple Park Pvt, Ottawa, ON, K1T 4A6	03-Apr-19	\$62.00	\$0.00
Brian Foss	503-404 Laurier Ave E, Ottawa, ON, K1N 6R2	20-Feb-19	\$1,200.00	\$0.00
Brian Foss	503-404 Laurier Ave E, Ottawa, ON, K1N 6R2	20-Feb-19	\$62.00	\$62.00
Richard Fransham	788 de Salaberry St, Ottawa, ON, K1J 6Y7	03-Apr-19	\$175.00	\$0.00
Richard Fransham	788 de Salaberry St, Ottawa, ON, K1J 6Y7	03-Apr-19	\$200.00	\$0.00
Richard Fransham	788 de Salaberry St, Ottawa, ON, K1J 6Y7	03-Apr-19	\$250.00	\$0.00
Linda Fritsch	1502-1425 Vanier Pky, Ottawa, ON, K1K 2C1	03-Apr-19	\$125.00	\$0.00
Isaac Goodine	902-27 Henderson Ave, Ottawa, ON, K1N 7P3	30-Mar-19	\$62.00	\$0.00
Isaac Goodine	902-27 Henderson Ave, Ottawa, ON, K1N 7P3	03-Apr-19	\$100.00	\$0.00
Allison Heather	6 Wilkins Ave, Toronto, ON, M5A 3C3	10-Feb-19	\$1,200.00	\$0.00

Name	Full Address	Date Received	Amount \$	Amount \$ Returned to Contributor or Paid to the City Clerk
Scott Heatherington	296 Buena Vista Rd, Ottawa, ON, K1M 0V7	17-Mar-19	\$200.00	\$0.00
Vicki Henry	139 Stanley Ave, Ottawa, ON, K1M 1P2	12-Mar-19	\$50.00	\$0.00
Vicki Henry	139 Stanley Ave, Ottawa, ON, K1M 1P2	03-Apr-19	\$62.00	\$0.00
Charles Hill	503-404 Laurier Ave E, Ottawa, ON, K1N 6R2	18-Feb-19	\$1,200.00	\$0.00
Peter Lewis	1220 Lisgar Rd, Ottawa, ON, K1M 0E7	07-Apr-19	\$200.00	\$0.00
Liz MacKenzie	1-10 Clarence St, Ottawa, ON, K1N 5P3	17-Apr-19	\$125.00	\$0.00
Stephen Mayhew	6 Wilkins Ave, Toronto, ON, M5A 3C3	09-Feb-19	\$1,200.00	\$0.00
Shawn Menard	65 Belmont Ave, Ottawa, ON, K1S 0V2	29-Mar-19	\$500.00	\$0.00
Marilyn Read	34 Wolff St, Ottawa, ON, K1K 1K7	29-Mar-19	\$20.00	\$0.00
Marilyn Read	34 Wolff St, Ottawa, ON, K1K 1K7	07-Apr-19	\$100.00	\$0.00
Linda Regnier	280 Wall Rd, Navan, ON, K4B 1H9	04-Mar-19	\$500.00	\$0.00
Joan Riggs	1076 Oxford Sation Rd, Station, ON, K2P 0Z2	19-Feb-19	\$200.00	\$0.00
Andrew Sacret	32 Putman Ave, Ottawa, ON, K1M 1Z2	28-Mar-19	\$250.00	\$0.00
Jonathan Shaughnessy	5-320 Cyr Ave, Ottawa, ON, K1L 7N7	26-Mar-19	\$62.00	\$0.00



Name	Full Address	Date Received	Amount \$	Amount \$ Returned to Contributor or Paid to the City Clerk
Jonathan Shaughnessy	5-320 Cyr Ave, Ottawa, ON, K1L 7N7	04-Apr-19	\$100.00	\$0.00
Bridget Thompson	77 Bellwood Ave, Ottawa, ON, K1S 1S6	21-Mar-19	\$400.00	\$0.00

Total amount of monetary contributions from individuals other than candidate or spouse: \$ 10,136.00

**Table 2: Contributions in goods and services from individuals other than candidate or spouse (Note: must also be recorded as Expenses in Box C)**

Name	Full Address	Description of Goods and Services	Date Received (Year/Month/Day)	Value \$
Nicholas Daniels	35B Laurel Street. Ottawa, ON K1Y 4M4	Banner Sign	2019/02/08	\$120.00
Patrick Cote	200 Lennox Park Ave. Ottawa, ON K1G 0K4	Design Services	2019/03/29	\$280.00

Total amount of contributions in goods and services from individuals other than candidate or spouse: \$ 400.00

Line 1B - Total for Part 2 – Contributions exceeding \$100 per contributor (Add totals from Table 1 and Table 2 and record the total in Part 1 – Summary of Contributions): \$10,536.00

**Part 3 – Contributions from candidate or spouse**

**Table 3: Contributions in goods or services**

Description of Goods and Services	Date Received (Year/Month/Day)	Value \$
Not Provided	Not Provided	\$0.00

Total value of goods or services from candidate or spouse: \$ 0.00

**Table 4: Inventory of campaign goods and materials from previous municipal campaign used in this campaign (Note: value must be recorded as a contribution from the candidate and as an expense)**

Description	Date Acquired (Year/Month/Day)	Supplier	Quantity	Current Market Value \$
Not Provided	Not Provided	Not Provided	Not Provided	\$0.00

Total value of inventory of campaign goods and materials from previous municipal campaign used in this campaign: \$ 0.00

## **Schedule 2 – Fundraising Events and Activities**

### **Fundraising Event or Activity**

Complete a separate schedule for each event or activity held

Check box unmarked: Additional schedule(s) attached

Description of fundraising event/activity: Not Provided

Date of event/activity (Year/Month/Day): Not Provided

### **Part 1 – Ticket revenue**

Line 2A: Admission charge (per person) (if there are a range of ticket prices, attach complete breakdown of all ticket sales): \$ 0.00

Line 2B: Number of tickets sold: 0

Total Part 1 (Line 2A x 2B) (include in Part 1 of Schedule 1): \$ 0.00

### **Part 2 – Other revenue deemed a contribution (e.g. revenue from goods sold in excess of fair market value) Provide details**

Total Part 2 (include in Part 1 of Schedule 1): \$ 0.00

### **Part 3 – Other revenue not deemed a contribution (e.g. contribution of \$25 or less; goods or services sold for \$25 or less) Provide details**

Total Part 3 (include under Income in Box C): \$ 0.00

### **Part 4 – Expenses related to fundraising event or activity Provide details**

Total Part 4 Expenses (include under Expenses in Box C): \$ 0.00

**Auditor's Report**

Municipal Elections Act, 1996 (section 88.25)

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report.

Professional Designation of Auditor: Chartered Professional Accountant (CPA), CA

Municipality: City of Ottawa

Date (Year/Month/Day): 2019/06/25

Contact information

Last Name or Single Name: Prins

Given Name(s): Christine

Licence Number: 1-17636

Address - Suite or Unit Number. Street number and Street Name: 1796 Courtwood Crescent

Municipality, Province and Postal Code: Ottawa, Ontario, K2C 2B5

Telephone Number (including area code): 613 727-7474

Email Address: Christine.prins@ppl-ca.com

The report must be done in accordance with generally accepted auditing standards and must set out the scope of the examination and provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement

Check box marked: Report is attached

Personal information, if any, collected on this form is obtained under the authority of sections 88.25 and 95 of the Municipal Elections Act, 1996. Under section 88 of the Municipal Elections Act, 1996 (and despite anything in the Municipal Freedom of Information and Protection of Privacy Act) documents and materials filed with or prepared by the clerk or any other election official under the Municipal Elections Act, 1996 are public records and until their destruction, may be inspected by any person at the clerk's office at a time when the office is open. Campaign financial statements shall also be made available by the clerk in an electronic format free of charge upon request.

PPL

PARKER PRINS LEBANO

Chartered Professional Accountants

Professional Corporation

## **INDEPENDENT AUDITORS' REPORT**

To Mr. King of:

RAWLSON KING MUNICIPAL BY-ELECTION CAMPAIGN

### **Report on the Financial Statement**

We have audited the accompanying Financial Statement of RAWLSON KING MUNICIPAL BY-ELECTION CAMPAIGN, which comprise the Statements Of Campaign Income And Expenses, and Calculation Of Surplus Or Deficit for the period ended May 30,2019, and other explanatory information.

### **Candidate's Responsibility**

The Candidate is responsible for the preparation of this Financial Statement in accordance with the financial reporting provisions of the Municipal Elections Act, 1996, and for such internal control as the Candidate determines is necessary to enable the preparation of the Financial Statement that is free from material misstatement, whether due to fraud or error.

### **Auditors' Responsibility**

Our responsibility is to express an opinion on this Financial Statement based on our audit. We conducted our audit in accordance with Canadian generally accepted auditing standards. Those standards require that we comply with ethical requirements and plan and perform the audit to obtain reasonable assurance about whether the Financial Statement is free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the Financial Statement. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the Financial Statement, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the campaign's preparation of the Financial Statement in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the campaign's internal control. An audit also includes evaluating the appropriateness of

accounting policies used and the reasonableness of accounting estimates made by the Candidate, as well as evaluating the overall presentation of the Financial Statement.

We believe that the audit evidence we have obtained, including verifying campaign contributions to the campaign bank account statement, is sufficient and appropriate to provide a basis for our qualified audit opinion.

### **Basis for Qualified Opinion**

Due to the inherent nature of campaign transactions, the completeness of the various categories of income and expenses is not susceptible to satisfactory audit verification. Accordingly, our verification of income and expenses were limited to the amount recorded in the records of RAWLSON KING MUNICIPAL BY-ELECTION CAMPAIGN and we were not able to determine whether any adjustments might be necessary to income, expenses, and period surplus/deficit for the period ended May 30, 2019.

### **Qualified Opinion**

In our opinion, except for the possible effects of the matter described in the Basis for Qualified Opinion paragraph, the Financial Statement of RAWLSON KING MUNICIPAL BY-ELECTION CAMPAIGN for the period ended May 30, 2019 is prepared, in all material respects, in accordance with the financial reporting provisions of the Municipal Elections Act, 1996.

### **Basis of Accounting and Restriction on Distribution and Use**

Without modifying our opinion, the Financial Statement is prepared to assist RAWLSON KING MUNICIPAL BY-ELECTION CAMPAIGN to comply with the financial reporting provisions of the Municipal Elections Act, 1996. As a result, the Financial Statement may not be suitable for another purpose. Our report is intended solely for use of the Candidate of RAWLSON KING MUNICIPAL BY-ELECTION CAMPAIGN and Ontario Ministry of Municipal Affairs and should not be "distributed to or used by parties other than RAWLSON KING MUNICIPAL BY-ELECTION CAMPAIGN and Ontario Ministry of Municipal Affairs.

(Auditor's signature)

Parker Prins Lebano Chartered Professional Accountants Professional Corporation  
Authorized to practice public accounting by the Chartered Professional Accountants of Ontario

Ottawa, Ontario

June 25, 2019

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