

Municipal Elections Act, 1996 (Section 88.25)

Instructions:

All candidates must complete Boxes A and B. Candidates who receive contributions or incur expenses must complete Boxes C, D, Schedule 1 and Schedule 2 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor’s Report.

All surplus funds (after any refund to the candidate or his or her spouse) shall be paid immediately over to the clerk who is responsible for the conduct of the election.

For the campaign period from (day candidate filed nomination) 2018/05/01 to 2018/12/31

Check box marked: Initial filing reflecting finances to December 31 (or 45th day after voting day in a by-election)

Check box unmarked: Supplementary filing including finances after December 31 (or 45th day after voting day in a by-election)

Box A: Name of Candidate and Office

Candidate’s name as shown on the ballot

Last name or Single Name: Blais

Given Name(s): Stephen

Office for which the candidate sought election: Councillor

Ward name or number (if any): Cumberland- Ward 19

Municipality: City of Ottawa

Spending Limit – General: \$33,490.00

Spending Limit – Parties and Other Expressions of Appreciation: \$3,349.03

Check box unmarked: I did not accept any contributions or incur expenses (Complete Box A and B only)

Box B: Declaration

I, Stephen Blais, declare that to the best of my knowledge and belief that these financial statements and attached supporting schedules are true and correct.

Signature of Candidate

Date (Year/Month/Day): 2019/03/26

Date Filed (Year/Month/Day): 2019/03/26

Time Filed: 9:17 a.m.

Initial of Candidate or Agent (if filed in person)

Signature of Clerk of Designate

Box C: Statement of Campaign Income and Expenses

Loan

Name of bank or recognized lending institution: Not Provided

Amount borrowed: \$0.00

Income

Total amount of all contributions (from line 1A in Schedule 1): \$ 37,037.25

Revenue from items \$25 or less: \$ 0.00

Sign deposit refund: \$ 0.00

Revenue from fundraising events not deemed a contribution (from Part 3 of Schedule 2): \$ 0.00

Interest earned by campaign bank account: \$ 0.17

Other (provide full details): No Other Income

Line C1: Total Campaign Income (Do not include loan): \$ 0.00

Expenses (Note: Include the value of contributions of goods and services)

Expenses subject to general spending limit

Inventory from previous campaign used in this campaign (list details in Table 4 of Schedule 1): \$ 8,937.26

Advertising: \$ 1,147.73

Brochures/flyers: \$ 8,881.20

Signs (including sign deposit): \$ 297.73

Meetings hosted: \$ 0.00

Office expenses incurred until voting day: \$ 3,920.85

Phone and or internet expenses incurred until voting day: \$ 264.75

Salaries, benefits, honoraria, professional fees incurred until voting day: \$ 0.00

Bank charges incurred until voting day: \$ 64.05

Interest charged on loan until voting day: \$ 0.00

Other (provide full details):

1. Hospitality: \$ 3,239.53

Line C2: Total Expenses subject to general spending limit: \$ 26,753.10

Expenses subject to spending limit for parties and other expressions of appreciation:

1. Victory Party: \$ 2,497.09

Line C3: Total Expenses subject to spending limit for parties and other expressions of appreciation: \$2,497.09

Expenses not subject to spending limits

Accounting and audit: \$ 3,955.00

Cost of fundraising events or activities (list details in Part 4 of Schedule 2): \$ 303.68

Office expenses incurred after voting day: \$ 0.00

Phone and or internet expenses incurred after voting day: \$ 44.63

Salaries, benefits, honoraria, professional fees incurred after voting day: \$ 0.00

Bank charges incurred after voting day: \$ 0.00

Interest charged on loan after voting day: \$ 0.00

Expenses related to recount: \$ 0.00

Expenses related to controverted election: \$ 0.00

Expenses related to compliance audit: \$ 0.00

Expenses related to candidate's disability (provide full details): No expenses related to candidate's disability.

Other (provide full details): No other expenses not subject to spending limit

Line C4: Total expenses not subject to spending limits: \$ 4,303.31

Line C5: Total Campaign Expenses (Line C2 + Line C3 + Line C4): \$ 33,553.50

Box D: Calculation of Surplus of Deficit

Line D1: Excess (deficiency) of income over expenses (Income minus Total Expenses) (Line C1 – Line C5): \$ 3,483.92

Line D2: Eligible deficit carried forward by the candidate from the last election (applies to 2018 regular election only): \$ 0.00

Total (Line D1 – Line D2): \$ 3,483.92

If there is a surplus, deduct any refund of candidate's or spouse's contributions to the campaign: \$ 0.00

Line D3: Surplus (or deficit) for the campaign: \$ 3,483.92

If line D3 shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who was responsible for the conduct of the election.

Schedule 1 – Contributions

Part 1 – Summary of Contributions

Contributions in money from candidate and spouse: \$0.00

Contributions in goods and services from candidate and spouse (include value listed in Table 3 and Table 4): \$8,937.26

Total value of contributions not exceeding \$100 per contributor (Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor is \$100 or less (do not include contributions from candidate or spouse)): \$ 950.00

Total value of contributions exceeding \$100 per contributor (from line 1B on page 5; list details in Tables 1 and Table 2) (Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor exceeds \$100 (do not include contributions from candidate or spouse)): \$ 27,149.99

Less: Contributions returned or payable to the contributor: \$ 0.00

Less: Contributions paid or payable to the clerk, including contributions from anonymous sources exceeding \$25: \$ 0.00

Line 1A: Total amount of contributions (record under Income in Box C): \$ 37,037.25

Part 2 – Contributions exceeding \$100 per contributor – individuals other than candidate or spouse

Table 1: Monetary contributions from individuals other than candidate or spouse

Name	Full Address	Date Received	Amount \$	Amount \$ Returned to Contributor or Paid to the City Clerk
Gregory Pereira	383 Ridgeside Farm Dr, Ottawa, ON, K2W 1H3	29-Oct-18	\$1,000.00	\$0.00
Glen Edwards	1280 Inge Cres, Ottawa, ON, K4B 1M7	15-Oct-18	\$200.00	\$0.00
Mitchell Goldhar	26 Forest Glen Cres, Toronto, ON, M4N 2E8	11-Oct-18	\$750.00	\$0.00
Ian Faris	6321 Paddler Way, Ottawa, ON, K1C 2G5	08-Oct-18	\$150.00	\$0.00

Name	Full Address	Date Received	Amount \$	Amount \$ Returned to Contributor or Paid to the City Clerk
Andre Rollin	880 Smith Rd, Ottawa, ON, K4B 1N9	05-Oct-18	\$500.00	\$0.00
Patrick Dion	182 Clunty St, Ottawa, ON, K1G 0K2	27-Sep-18	\$300.00	\$0.00
Jean Decoeur	1570 Jonquille Way, Ottawa, ON, K4C 1C2	18-Sep-18	\$1,200.00	\$0.00
Jean-Luc Rivard	2669 Dubois St, Rockland, ON, K4K 1K7	14-Sep-18	\$999.99	\$0.00
Jennifer Ruddy	8 Grouse Nest N/A, Ottawa, ON, K1J 7G1	31-Aug-18	\$230.00	\$0.00
John Ruddy	8 Grouse Nest N/A, Ottawa, ON, K1J 7G1	31-Aug-18	\$520.00	\$0.00
Robert Van Danham	2940 Quillivan Lane, Ottawa, ON, K4C 1A9	29-Aug-18	\$500.00	\$0.00
Kevin Cinq Mars	19 Cypress Gdns, Ottawa, ON, K2S 1W6	28-Aug-18	\$1,200.00	\$0.00
Gerald Dust	1330 Henn Dr, Ottawa, ON, K4B 1P2	23-Aug-18	\$200.00	\$0.00
Guy Whissel	2990 Wilhaven Dr, Ottawa, ON, K4C 1K4	16-Aug-18	\$500.00	\$0.00
Raymond Charron	847 Nesting Way, Ottawa, ON, K4A 3X3	14-Aug-18	\$1,000.00	\$0.00
Marcel Denomme	492 Rougemount Cres, Ottawa, ON, K4A 2Z6	08-Aug-18	\$1,200.00	\$0.00
Linda Uhler	309-6434 Billberry Dr, Ottawa, ON, K1C 4P5	08-Aug-18	\$500.00	\$0.00

Name	Full Address	Date Received	Amount \$	Amount \$ Returned to Contributor or Paid to the City Clerk
Andrew Cameron	51 Maxton Pvt, Ottawa, ON, K1J 1J1	07-Aug-18	\$200.00	\$0.00
David Young	237 Castlegarth Cres, Ottawa, ON, K2J 5N6	03-Aug-18	\$500.00	\$0.00
Robert Greenberg	48 Saddlebrook St, Ottawa, ON, K2G 5N4	27-Jul-18	\$1,200.00	\$0.00
Joanna Van Haastrecht	5504 Wicklow Dr, Ottawa, ON, K4M 1C4	22-May-18	\$1,000.00	\$0.00
Nisha Cairo	2742 Grand Vista Cir, Ottawa, ON, K2J 0W6	22-May-18	\$1,000.00	\$0.00
John Bradley	1236 Barnwell Cres, Ottawa, ON, K4B 1K4	20-May-18	\$200.00	\$0.00
Cuckoo Kochar	3759 Revelstoke Dr, Ottawa, ON, K1V 7C2	15-May-18	\$500.00	\$0.00
Monica Singhal	266 Second Ave, Ottawa, ON, K1S 2H9	15-May-18	\$600.00	\$0.00
Kevin Yemm	266 Second Ave, Ottawa, ON, K1S 2H9	15-May-18	\$600.00	\$0.00
Richard Gardner	1360 Merkley Rd, Winchester, ON, K0C 2K0	15-May-18	\$1,200.00	\$0.00
Pierre Dufresne	183 Stewart St, Ottawa, ON, K1N 6J8	15-May-18	\$1,200.00	\$0.00
Erin O'Connor	62 Champagne Ave S, Ottawa, ON, K1S 4P2	15-May-18	\$1,200.00	\$0.00
Michelle Taggart	202 Faraday St, Ottawa, ON, K1Y 3M6	09-May-18	\$1,200.00	\$0.00

Name	Full Address	Date Received	Amount \$	Amount \$ Returned to Contributor or Paid to the City Clerk
Christopher Taggart	473 Mayfair Ave, Ottawa, ON, K1Y 0K9	09-May-18	\$1,200.00	\$0.00
Francesco Cairo	2742 Grand Vista Cir, Ottawa, ON, K2J 0W6	09-May-18	\$1,000.00	\$0.00
Crystal Ngo	5504 Wicklow Dr, Ottawa, ON, K4M 1C4	09-May-18	\$1,200.00	\$0.00
Edward Phillips	3436 Prince of Wales Dr, Ottawa, ON, K2G 6X2	09-May-18	\$1,200.00	\$0.00
Troy Van Hasstrecht	5504 Wicklow Dr, Ottawa, ON, K4M 1C4	09-May-18	\$1,000.00	\$0.00

Total amount of monetary contributions from individuals other than candidate or spouse:
\$ 27,149.99

Table 2: Contributions in goods and services from individuals other than candidate or spouse (Note: must also be recorded as Expenses in Box C)

Name	Full Address	Description of Goods and Services	Date Received (Year/Month/Day)	Value \$
Not Provided	Not Provided	Not Provided	Not Provided	\$0.00

Total amount of contributions in goods and services from individuals other than candidate or spouse: \$ 0.00

Line 1B - Total for Part 2 – Contributions exceeding \$100 per contributor (Add totals from Table 1 and Table 2 and record the total in Part 1 – Summary of Contributions):
\$27,149.99

Part 3 – Contributions from candidate or spouse

Table 3: Contributions in goods or services

Description of Goods and Services	Date Received (Year/Month/Day)	Value \$
Not Provided	Not Provided	\$0.00

Total value of goods or services from candidate or spouse: \$ 0.00

Table 4: Inventory of campaign goods and materials from previous municipal campaign used in this campaign (Note: value must be recorded as a contribution from the candidate and as an expense)

Description	Date Acquired (Year/Month/Day)	Supplier	Quantity	Current Market Value \$
Small Signs 2014	02-Sep-14	Hawley Signs	719	\$2,794.90
Spider Stakes	13-Aug-14	Hawley Signs	800	\$1,084.80
Wood Pickets	14-Sep-10 25-Sep-14 25-Oct-14	C&G Products Home Depot Home Depot	300	\$462.74
Big Signs	31-Aug-10 15-Oct-14	Hawley Signs Hawley Signs	125	\$2,193.61
Step stakes	16-Jul-10 02-Oct-14	Sure Print Copy Centre Hawley Signs	500	\$519.80
Small Signs 2010	16-Jul-10	Sure Print Copy Centre	484	\$1,881.41

Total value of inventory of campaign goods and materials from previous municipal campaign used in this campaign: \$ 8,937.26

Schedule 2 – Fundraising Events and Activities

Fundraising Event or Activity

Complete a separate schedule for each event or activity held

Check box marked: Additional schedule(s) attached

Description of fundraising event/activity: Al's Steakhouse Fundraising

Date of event/activity (Year/Month/Day): 2018/05/14

Part 1 – Ticket revenue

Line 2A: Admission charge (per person) (if there are a range of ticket prices, attach complete breakdown of all ticket sales): \$ 0.00

Line 2B: Number of tickets sold: 9

Total Part 1 (Line 2A x 2B) (include in Part 1 of Schedule 1): \$ 0.00

Part 2 – Other revenue deemed a contribution

(e.g. revenue from goods sold in excess of fair market value)

Provide details

1. Contributions from guest: \$8800.00

Total Part 2 (include in Part 1 of Schedule 1): \$ 8800.00

Part 3 – Other revenue not deemed a contribution

(e.g. contribution of \$25 or less; goods or services sold for \$25 or less)

Provide details

Total Part 3 (include under Income in Box C): \$ 0.00

Part 4 – Expenses related to fundraising event or activity

Provide details

1. Food and drinks:\$ 303.68

Total Part 4 Expenses (include under Expenses in Box C): \$ 303.68

Auditor's Report

Municipal Elections Act, 1996 (section 88.25)

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report.

Professional Designation of Auditor: Chartered Professional Accountant, Licensed Public Accountant

Municipality: City of Ottawa

Date (Year/Month/Day): 2019/03/22

Contact information

Last Name or Single Name: Vroom

Given Name(s): Kevin

Licence Number: 1-21207

Address - Suite or Unit Number. Street number and Street Name: 100-1730 St. Laurent Boulevard

Municipality, Province and Postal Code: Ottawa, Ontario K1G 5L1

Telephone Number (including area code): (613) 739-8221

Email Address: kvroom@bdo.ca

The report must be done in accordance with generally accepted auditing standards and must set out the scope of the examination and provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement

Check box marked: Report is attached

Personal information, if any, collected on this form is obtained under the authority of sections 88.25 and 95 of the Municipal Elections Act, 1996. Under section 88 of the Municipal Elections Act, 1996 (and despite anything in the Municipal Freedom of Information and Protection of Privacy Act) documents and materials filed with or prepared by the clerk or any other election official under the Municipal Elections Act, 1996 are public records and until their destruction, may be inspected by any person at the clerk's office at a time when the office is open. Campaign financial statements shall also be made available by the clerk in an electronic format free of charge upon request.

LBDO

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1730 St-Laurent Boulevard Suite 100
Ottawa ON K1G 5L1 Canada

Independent Auditor's Report

To Mr. Rick O'Connor, City Clerk and Solicitor, Ottawa

Qualified Opinion

We have audited the Financial Statement - Auditor's Report Form 4 (the Financial Statement) of Stephen Blais (the Candidate), which comprises the Statement of Campaign Period Income and Expenses and Statement of Determination of Surplus or Deficit and Disposition of Surplus for the period from May 1, 2018 to December 31, 2018 (relating to the election held on October 22, 2018), and other explanatory information.

In our opinion, except for the possible effects of the matter described in the Basis for Qualified Opinion paragraph, the accompanying Financial Statement of the Candidate for the period from May 1, 2018 to December 31, 2018 (relating to the election held on October 22, 2018) is prepared, in all material respects, in accordance with Section 88 of the Municipal Elections Act, 1996 (the Act).

Basis for Qualified Opinion

Due to the nature of the types of transactions inherent in an election campaign, the completeness of donations of goods and services and receipts and disbursements is not susceptible to satisfactory audit verification. Accordingly, our verification of these transactions was limited to the amounts recorded in the accounting records of the Candidate. Therefore we were not able to determine whether any adjustments might be necessary to income and expenses and surplus / deficit.

We conducted our audit in accordance with Canadian generally accepted auditing standards. Our responsibilities under those standards are further described in the Auditor's Responsibilities for the Audit of the Financial Statement section of our report. We are independent of the Candidate in accordance with the ethical requirements that are relevant to our audit of the Financial Statement in Canada, and we have fulfilled our other ethical responsibilities in accordance with these requirements. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our qualified opinion.

Emphasis of Matter - Basis of Accounting

The Financial Statement is prepared to assist the Candidate to meet the requirements of the Act referred to above. As a result, the Financial Statement may not be suitable for another purpose. Our opinion is not modified in this manner.

Responsibilities of the Candidate for the Financial Statement

The Candidate is responsible for the preparation of the Financial Statement in accordance with the Act, and for such internal control as the Candidate determines is necessary to enable the preparation of the Financial Statement that is free from material misstatement, whether due to fraud or error.

Auditor's Responsibilities for the Audit of the Financial Statement

Our objectives are to obtain reasonable assurance about whether the Financial Statement as a whole is free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance, but is not a guarantee that an audit conducted in accordance with Canadian generally accepted auditing standards will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in the aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of the Financial Statement.

As part of an audit in accordance with Canadian generally accepted auditing standards, we exercise professional judgment and maintain professional skepticism throughout the audit. We also:

- Identify and assess the risks of material misstatement of the Financial Statement, whether due to fraud or error, design and perform audit procedures responsive to those risks, and obtain audit evidence that is sufficient and appropriate to provide a basis for our opinion. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Candidate's internal control.
- Evaluate the appropriateness of accounting policies used and the reasonableness of accounting estimates and related disclosures made by the Candidate.

We communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit and significant audit findings, including any significant deficiencies in internal control that we identify during our audit.

(Auditor's signature)

Chartered Professional Accountants, Licensed Public Accountants

Ottawa, Ontario

March 22, 2019

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